

Polysomnography: Staging & Scoring

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PATIENTS. AT THE HE RT OF ALL WE DO."

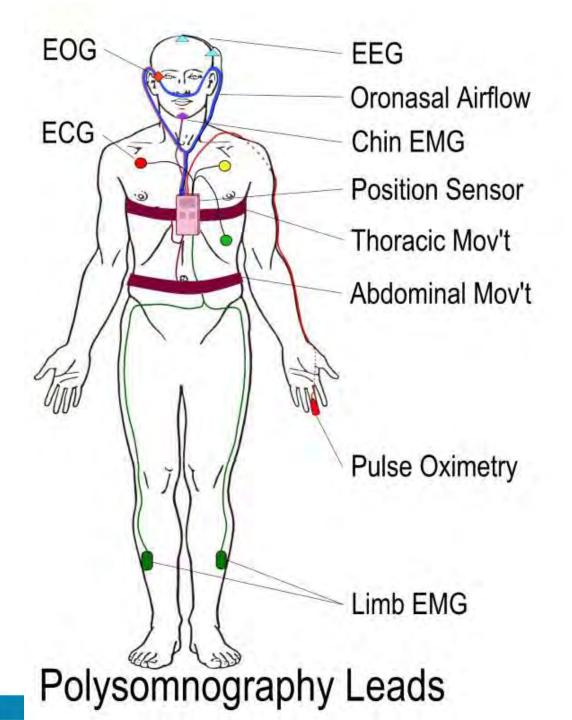
DUKECHNUS

Purpose and structure of the talk

» To give an overview of staging and scoring of PSG

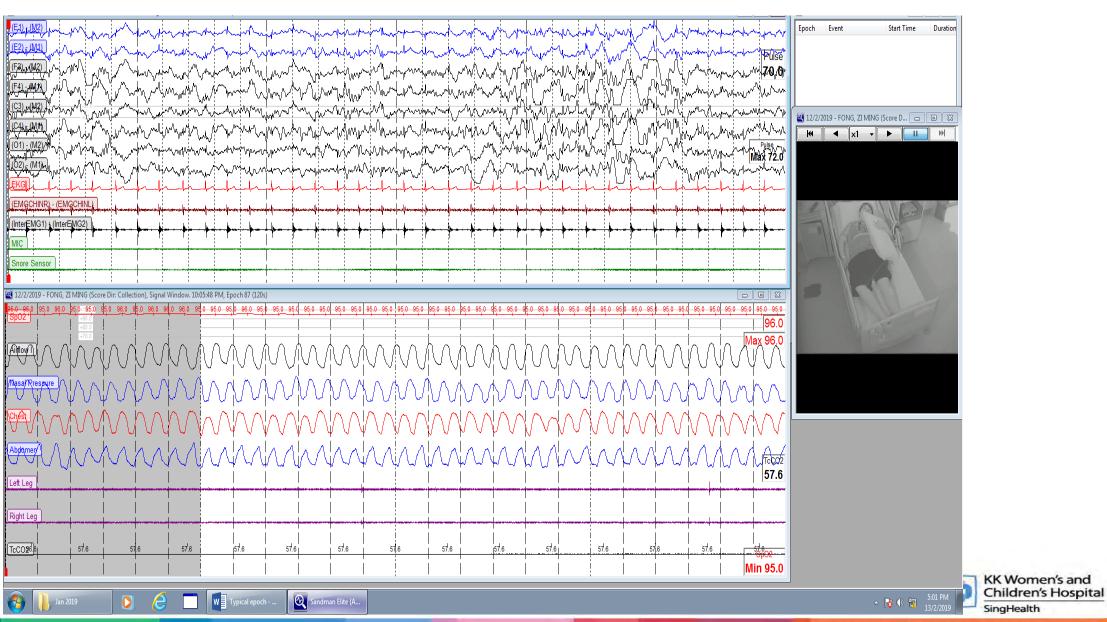
- » To highlight the differences between adults and children in terms of staging and scoring of sleep.
 - Sleep staging rules
 - Respiratory rules







Typical PSG montage



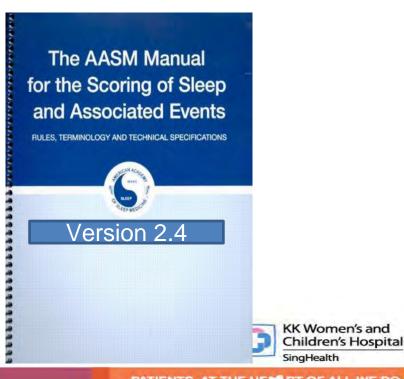
Staging and Scoring guidelines

» Rechtschaffen & Kales

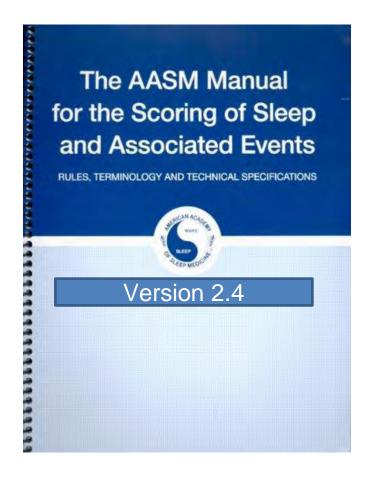
(Allan Rechtschaffen & Anthony Kales 1968)

» AASM 2007 – first AASM manual

» AASM 2018 version 2.5



AASM 2017

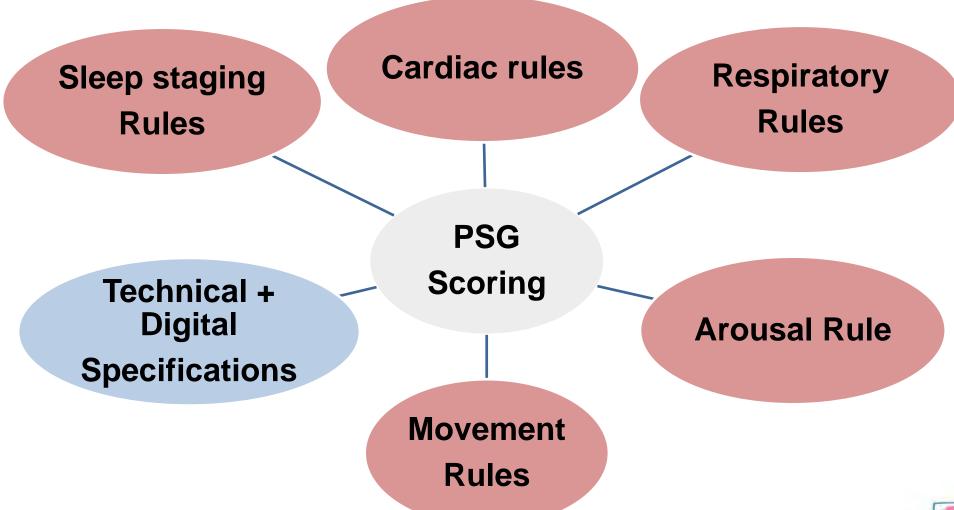


- » User Guide
- » PSG: parameters to be reported
- » Technical & Digital specifications
- » Sleep staging rules
- » Arousal Rule
- » Cardiac Rules
- » Movement Rules
- » Respiratory Rules
- » Home Sleep Apnea Testing Rules for Adults
- » Development process, Procedural notes, Glossary



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SCORING SLEEP STAGES ADULTS CHILDREN INFANTS











Partner in

















Paediatric Sleep Stage Scoring Rules

- Can be applied to ≥ 2 months post-term or older
- No precise upper age boundary for paediatric visual rules
- Children < 2 months post-term: Paediatric Task Force Review paper & AASM 2017*

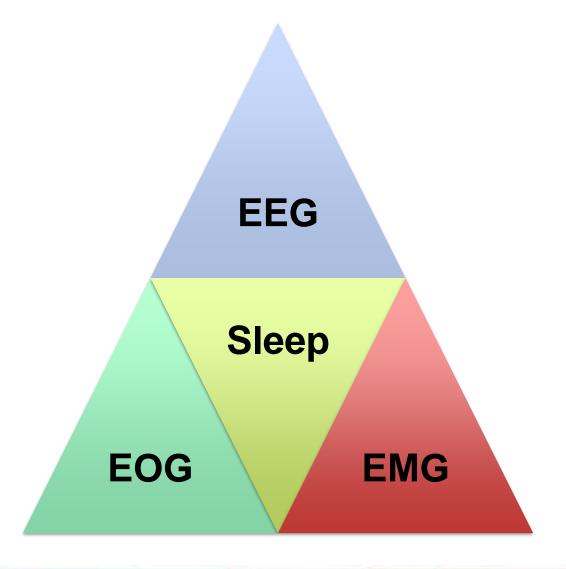
J Clin Sleep Med 2007; 3: 201-40

Journal of Clinical Sleep Medicine

The Visual Scoring of Sleep and Arousal in Infants and Children



Scoring of Sleep Stages

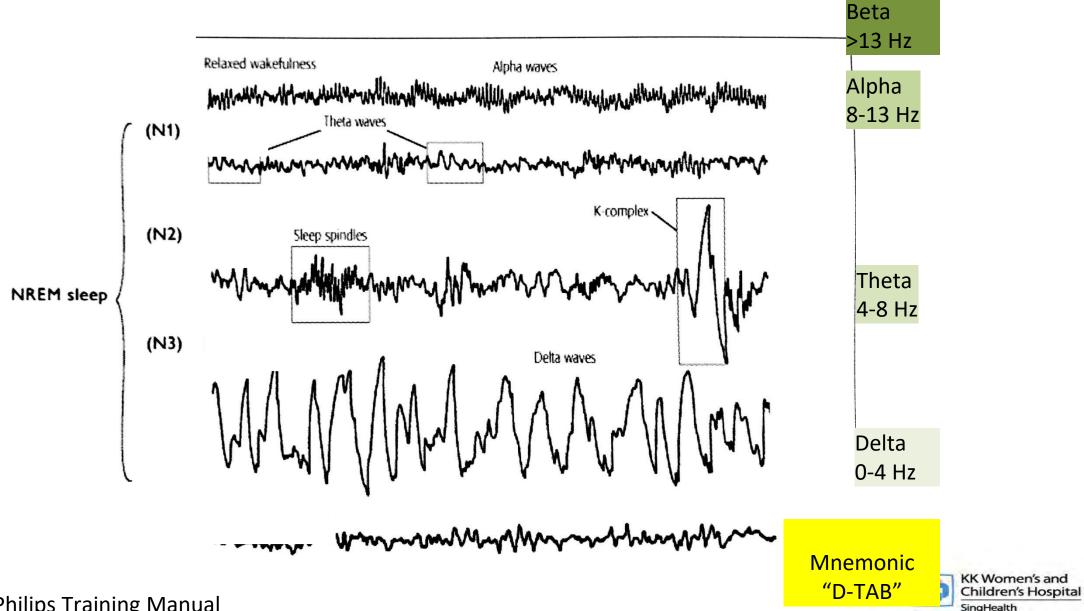


Scoring of Sleep Stages

Pattern Recognition



Typical EEG patterns



General Scoring of Sleep Stages

Adults

- Stage W (Wakefulness)
- Stage N1 (NREM 1)
- Stage N2 (NREM 2)
- Stage N3 (NREM 3)
- Stage R (REM)

Children (≥ 2m post term)

- Stage W (Wakefulness)
- Stage N1 (NREM 1)
- Stage N2 (NREM 2)
- Stage N3 (NREM 3)
- Stage N (NREM)
- Stage R (REM)
- Score sleep stages in 30 seconds, sequential epochs, commencing at the start of the study.
- Assign a stage to each epoch.
- If 2 or more stages co-exist in a single epoch, assign the stage comprising the greatest portion of the epoch

Stage W

- Alpha Rhythm
- > Chin EMG
- Eye Movements
- Reading
- Slow
- Rapid
- Blinks



Scoring Stage W: Definitions

Criterion	Definition
Alpha rhythm	8 – 13 Hz, Occipital region with eye closure, attenuates with eye opening (older children and adults)
Eye Blinks	Conjugate vertical eye movements, $0.5-2\mathrm{Hz}$, Present in Stage W with eyes open or closed
Reading Eye Movements	Conjugate, slow phase followed by a rapid phase in the opposite direction, as the subjects reads
Rapid Eye Movements (REM)	Conjugate, irregular, sharply peaked, initial deflection < 500 msec. Seen in stage W (with eyes open when subjects scan the environment), but characteristic of Stage REM.
Slow Eye Movements (SEM)	Conjugate, regular, sinusoidal eye movements, with an initial deflection lasting > 500 msec. (Stage W eyes closed and N1)
Posterior Dominant Rhythm (PDR)	Occipital, Relaxed wakefulness, Eyes closed, attenuates with eye opening Slower in infants and young children: 3.5-4.5 Hz (3–4m), 5-6Hz (5-6m), 7.5-9.5 Hz (3 years); Amplitude >50 μ V

Scoring Stage W

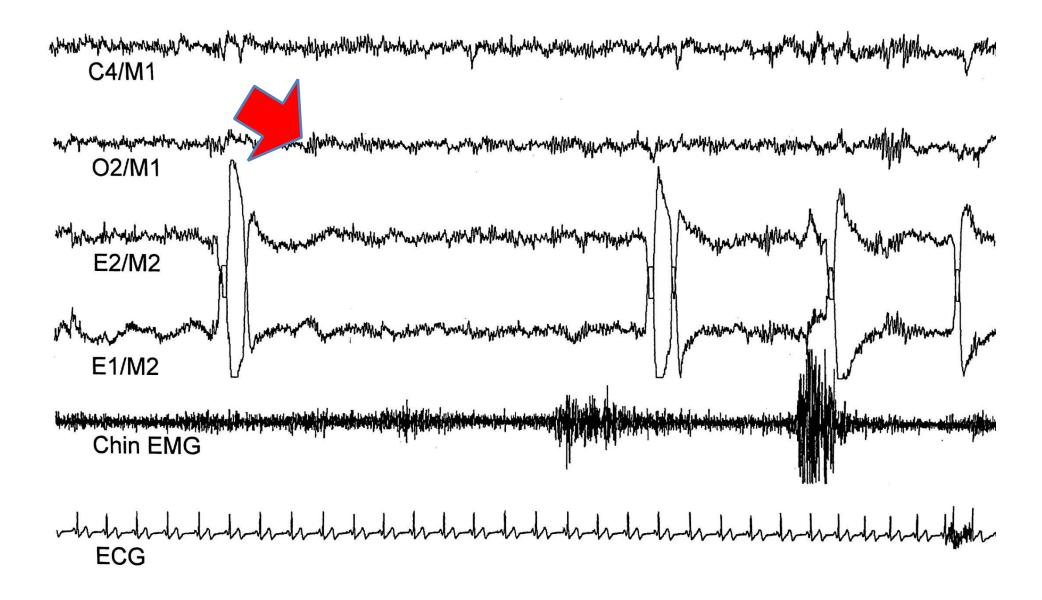
If Alpha rhythm/PDR +

Score as Stage W when >50%
 of the epoch has reactive
 Alpha rhythm or age
 appropriate PDR, over the
 occipital region.

If no discernible Alpha/PDR

- Score as Stage W, if ANY of the following is present in >50% of the epoch.
- Eye Blink (0.5-2Hz)
- Reading Eye Movement
- Rapid Eye Movement <u>with</u> normal or high chin EMG





STAGE WAKE - EYES OPEN

Stage N1

- Low Amplitude, Mixed Frequency EEG (LAMF)
- Vertex sharp waves
- Chin EMG active
- Slow Eye Movements



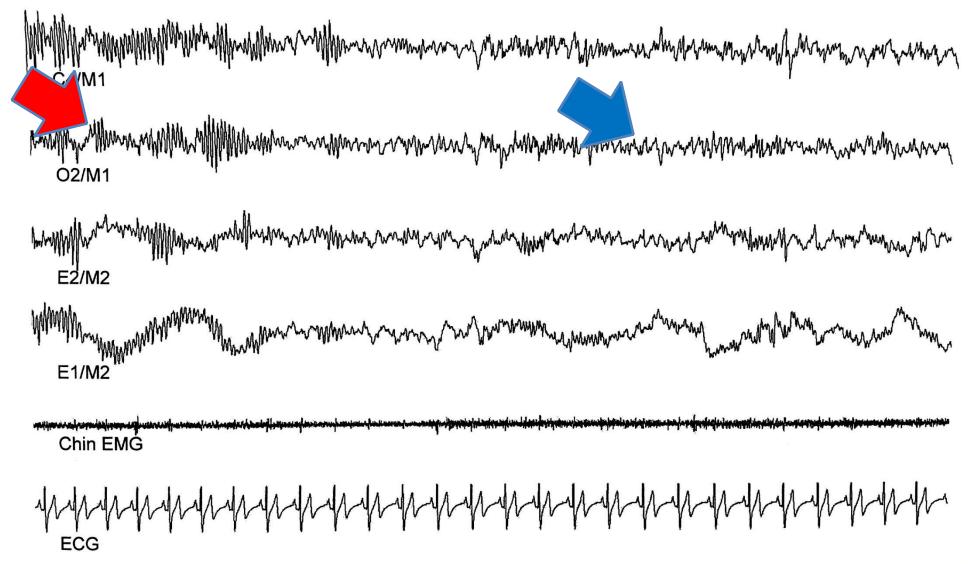
Scoring N1: Definitions

Criterion	Definition
Slow eye movements (SEM)	Conjugate, reasonably regular, sinusoidal eye movements, with an initial deflection lasting >500 msec. (also seen in W with eyes closed)
Low-amplitude, mixed frequency EEG activity (LAMF)	Alpha rhythm is replaced by low-amplitude mixed frequency (4-7 Hz) activity >50% of the epoch.
Vertex sharp waves (V waves)	Sharply contoured waves with a duration <0.5 sec, maximal over the central region and distinguishable from the background activity. Monophasic surface negative sharp waves, typically lasts <200 msec, can occur in bursts or runs, most often seen during transition from Stage W to N1, but can occur in N1 and N2. Typical V waves first appear at 4-6m post term.
Sleep onset	The start of the first epoch scored as any stage other than stage W. Usually, this will be the first epoch of N1 in most subjects.

Scoring N1: Definitions

Slow eye movements (SEM)	Conjugate, reasonably regular, sinusoidal eye movements, with an initial deflection lasting >500 msec.
· ·	Low-amplitude, predominantly 4-7 Hz activity.
frequency EEG activity	
Vertex sharp waves (V waves)	
	Vertex wave K-complex
Sleep onset	The start of the first epoch scored as any stage other than stage W. Usually, this will be the first epoch of N1 in most subjects.

LAMF EEG replacing Alpha rhythm



SLEEP ONSET - STAGE 1 SLEEP



In subjects who generate alpha rhythm	Score N1, if the alpha rhythm is attenuated and replaced by low-amplitude mixed-frequency activity (LAMF) for >50% of the epoch.
In subjects who do not generate alpha rhythm	Score N1 commencing with the earliest of ANY of the following phenomena. 1. EEG: 4-7Hz, slowing of background
	frequencies by ≥1 Hz from those of stage W. 2. Vertex sharp waves 3. Slow eye movements

Scoring N1: Children

In subjects who generate posterior dominant rhythm (PDR)

Score N1, if the PDR is attenuated or replaced by low-amplitude mixed-frequency activity for >50% of the epoch.

In subjects who do not generate posterior dominant rhythm (PDR)

Score N1 commencing with the earliest of ANY of the following phenomena.

- 1. LAMF EEG: 4-7Hz, slowing of background frequencies by ≥1-2 Hz from those of stage W.
- 2. Vertex sharp waves
- 3. Slow eye movements
- 4. Rhythmic anterior theta activity
- 5. Hypnagogic hypersynchrony
- 6. Diffuse or occipital predominant, high amplitude, rhythmic 3-5 Hz activity



Rhythmic anterior theta (RAT) activity:

Commonly seen in adolescents and young adults Runs of 5-7 Hz, rhythmic theta activity Maximal over the frontal or front-central regions.

Hypnagogic hypersynchrony:

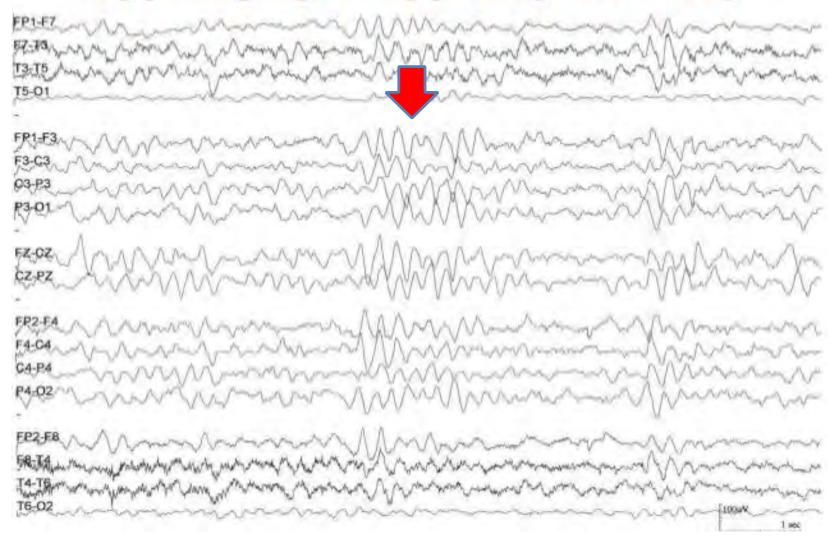
A distinctive EEG pattern of drowsiness and N1.

Seen in 3m – 13 years

Paroxysmal bursts of 3-5Hz, high voltage (up to 350μV) waves



Hypnagogic Hypersynchrony





Stage N2

- Low Amplitude, Mixed Frequency EEG (LAMF)
- K complex
- Sleep spindles
- > Chin EMG low



Minimal/No Eye Movements

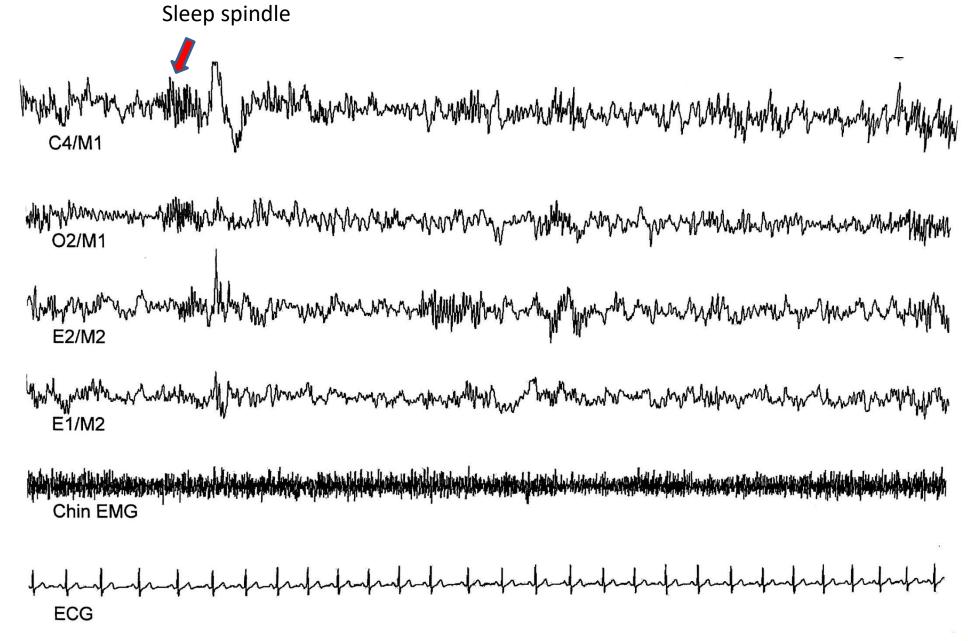


Scoring N2: Definitions

EEG Feature	Definition
K complex	 •Well-delineated, negative, sharp wave immediately followed by a positive component, standing out from the background EEG •Total duration ≥0.5 seconds •Maximal amplitude in pre-frontal and frontal derivations
	•Arousal associated K complex: Arousal that is concurrent with the K complex or commences within 1 second after termination of K complex.
Sleep spindle	 A train of distinct sinusoidal waves with a frequency of 11-16 Hz (most commonly 12-14 Hz), low amplitude. Duration ≥0.5 seconds. Maximal amplitude in central derivations

Scoring N2: Rules are same for adults and children

Beginning	In the absence of criteria for N3, if EITHER or BOTH of the following occur during the first half of that epoch or the last half of the previous epoch (Definite Stage N2) •≥ 1 non-arousal associated K complexes •≥ 1 sleep spindles EOG usually shows no eye movements in N2, but SEM may persist Chin EMG in N2: Variable amplitude, lower than W, may be as low as R	
Continuation	 Epochs with low-amplitude, mixed-frequency (LAMF) EEG Preceded by epochs containing EITHER non-arousal associated K complexes or sleep spindles. 	
End	Transition to W, N1, N3 or R Arousal/major body movement	





Stage N3

Slow Wave Activity

> Chin EMG low

Minimal/No Eye Movements



Scoring rules for Stage N3 are same for adults and children (>2 m)

(i). Slow wave activity in ≥20% of epoch

(i). Slow wave activity in ≥20% of epoch

-Frequency = 0.5-2 Hz

-Peak to peak amplitude >75μV

-Frontal region (F4-M1, F3-M2)

-Frequency = 0.5-2 Hz

-Peak to peak amplitude $>75\mu V$ (100-400 μV)

-Frontal region (F4-M1, F3-M2)

NOTES

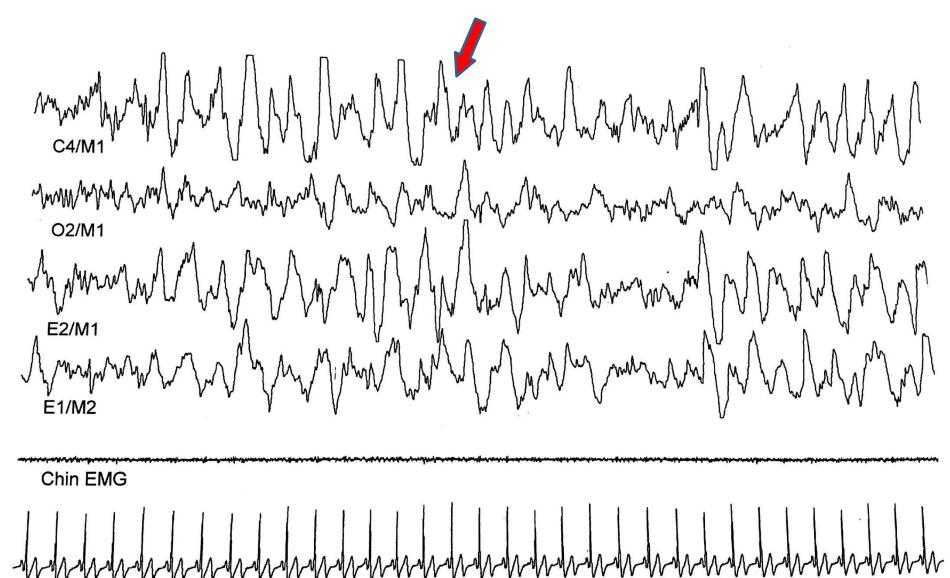
Sleep spindles and K complexes may be present in N3.

Eye movements are not typically seen.

Chin EMG is low (lower than N2, may be as low as Stage R)

First appears as early as 2months, Typically seen by 3-4.5 months.





ECG

Stage R

- Low Amplitude, Mixed Frequency EEG (LAMF)
- > Saw tooth waves
- Rapid Eye Movements
- > Chin EMG lowest
- > Transient Muscle activity





Scoring Stage R: Definitions

Scoring rules for Stage N3 are same for adults and children (>2m)

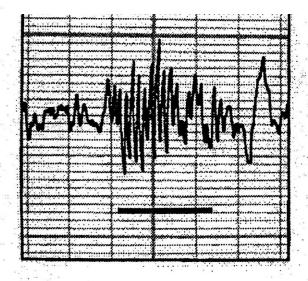
Criterion	Definition
REM	Conjugate, irregular, sharply peaked, with initial deflection <500 msec.
Low chin EMG	Chin EMG is at its lowest level
Sawtooth waves	Trains of sharply contoured or triangular, serrated 2-6 Hz waves, Maximal over central, often preceding a burst of REM.
Transient muscle activity	Short irregular bursts of EMG activity Duration <0.25 sec.
	May be seen in chin EMG/leg EMG/EOG or EEG

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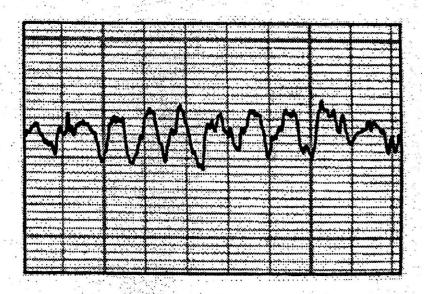
Scoring Stage R: Definitions

Scoring rules for Stage N3 are same for adults and children (>2m)

Criterion	Definition
REM	Conjugate, irregular, sharply peaked, with initial deflection <500 msec.



Sleep spindle



Sawtooth waves



Scoring Stage R

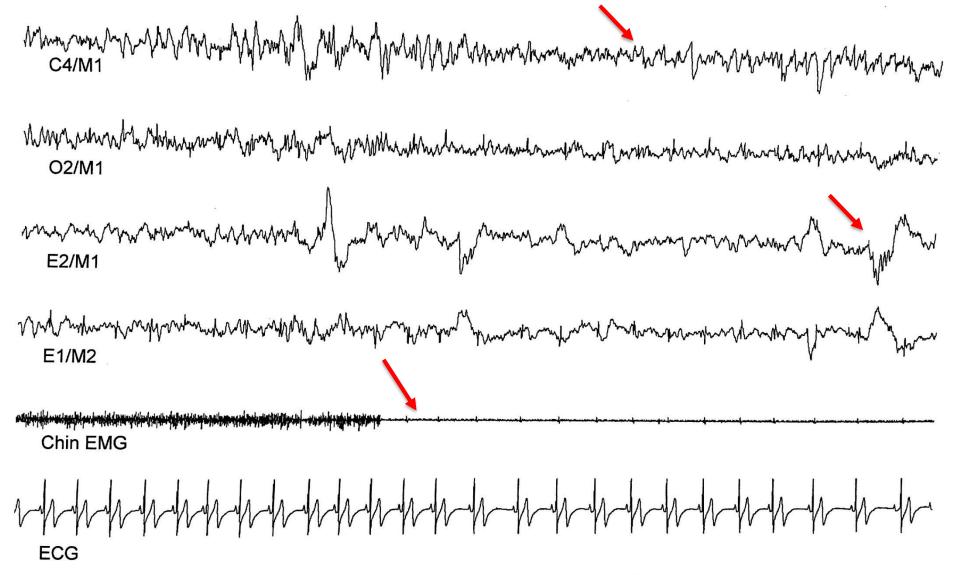
Rules	Score Stage R
1	Sleep epochs with ALL THREE of the following:
	 Low-amplitude mixed frequency (LAMF) EEG without K complexes or sleep spindles Low chin EMG tone for the majority of the epoch REM at any position within the epoch
2	For epochs following ≥1 Stage R epoch, <u>continue to score Stage</u> R (even if no REM), if ALL of the following are present
	 LAMF EEG without K complexes or sleep spindles Chin EMG remains low for majority of the epoch. No intervening arousal No SEM following an arousal or Stage W.
Childr	en: Scoring R follows the same rule as in adults

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Rules | Stop scoring Stage R

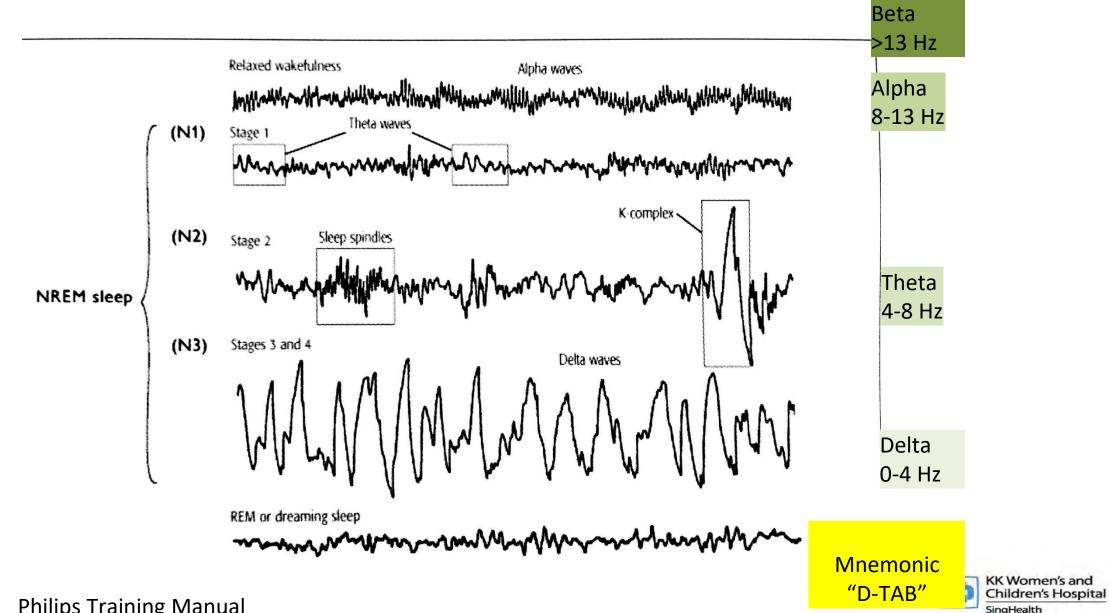
- 3. Stop scoring stage R when one or more of the following occur:
- (i). Transition to **Stage W or N3**
- (ii). Criteria for Stage N1 are met with increase in chin EMG tone for the majority of the epoch
- (iii). Arousal followed by low amplitude mixed frequency EEG and SEM: Stage N1 Arousal followed by low amplitude mixed frequency EEG, NO SEM, low chin EMG: Stage R
- (iv). A major body movement followed by low amplitude mixed frequency EEG and SEM, without non-arousal associated K complexes or sleep spindles: Stage N1. Major body movement followed by low amplitude mixed frequency EEG, NO SEM and low chin EMG: Stage R.
- (v). One or more non-arousal associated K complexes or sleep spindles in the first half of the epoch in the absence of REM; Score as Stage N2





ONSET OF REM SLEEP

Typical EEG patterns



Evolution of EEG patterns in children

Criterion	First appear by (post – term)	Present in all by (post – term)
Sleep spindle	4-6 weeks	2 – 3 months
K complexes		3 – 6 months
Slow wave activity (≥75 μV, 0.5-2.0 Hz, Typically frontal region)	2 months Amplitude 100-400μV	4 – 5 months
Discernible NREM stages of N1, N2 or N3	4 months	5 – 6 months
Posterior dominant rhythm -Occipital -Relaxed wakefulness -Eyes closed	3 – 4 months (3.5-4.5 Hz)	5 – 6 months (5-6 Hz) 3 years (7.5-9.5 Hz) Amplitude ≥50 μV
Vertex Sharp Waves	4-6 months	
Hypnogogic hypersynchrony	3-6 months	3-5Hz, 75-350µV,maximal over central/frontal/frontocentral, paroxysmal bursts, begins abruptly, Seen in N1 and N2

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Infants: Scoring of Sleep Stages

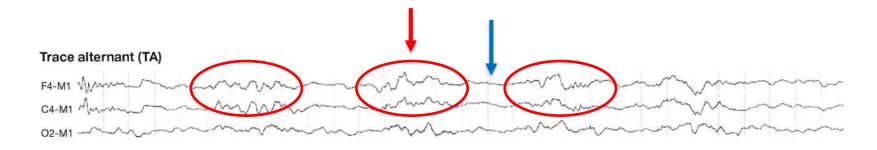
Infants 0-2 months post-term

- Stage W (Wakefulness)
- Stage N (NREM): Quiet Sleep
- Stage R (REM): Active Sleep
- Stage T (Transitional): Indeterminate Sleep

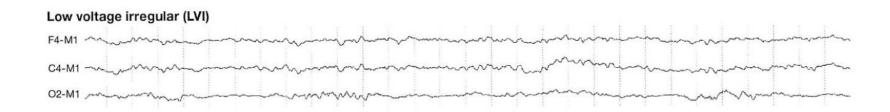
Consider

- Behavioural observation
- Regularity of respiration
- EEG, EOG and chin EMG patterns
- Score sleep stages in 30 seconds, sequential epochs, commencing at the start of the study.
- Assign a stage to each epoch.
- Score sleep onset as the first epoch of sleep. Often this is stage R (till 2-3m age)
- If ≥2 stages co-exist in a single epoch, assign the stage comprising the greatest portion of the epoch.
- If ≥PSG characteristics are discordant for stage R or N, score the epoch as T.

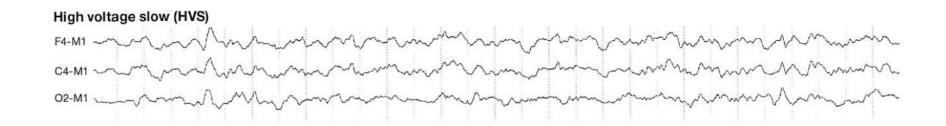




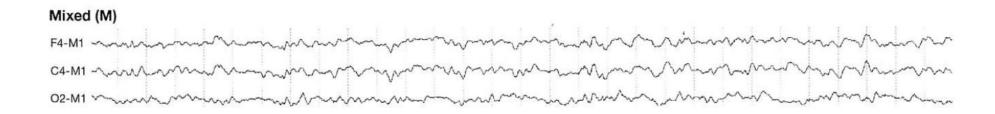
Stage	Discontinuous Patterns	EEG characteristics
N	Trace Alternant (TA)	At least 3 alternating runs of bilaterally symmetrical synchronous high voltage (50-150μV) bursts of 1-3Hz delta activity, lasting 5-6 sec (range 3-8 sec) alternating with periods of lower amplitude (25-50μV), 4-7Hz theta activity (range 4-12 sec) First appears at 37 weeks CA Predominant EEG pattern at 40 weeks CA Unlikely to be seen after 44 weeks CA TA is replaced by HVS activity after 44 weeks After 42 weeks the Inter Burst Interval (IBI) is of higher amplitude and lasts only 1-2 sec.



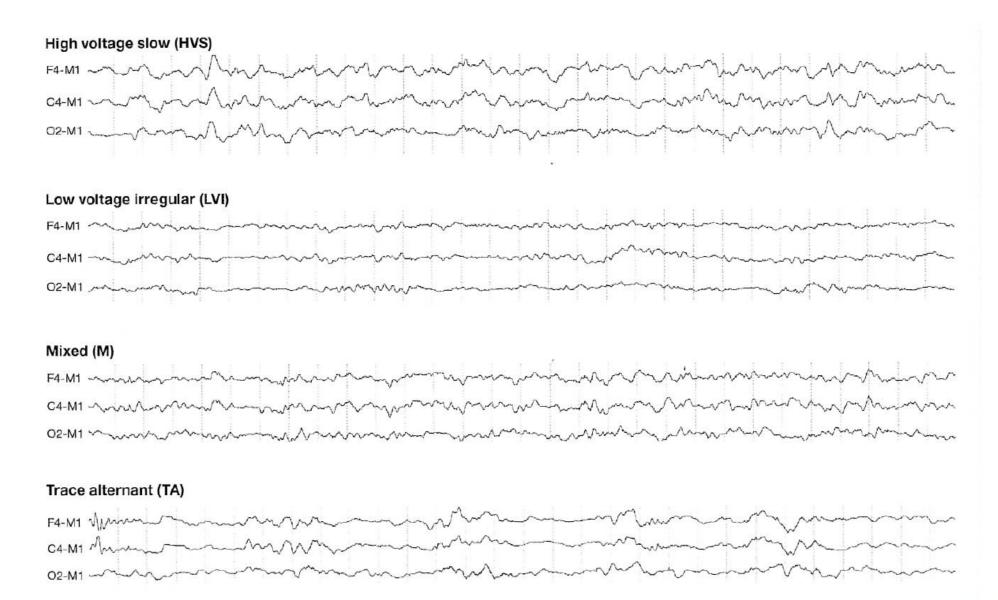
Stage	Continuous Patterns	EEG characteristics
R, W	Low Voltage Irregular (LVI)	Continuous Low voltage mixed frequency (LVMF) with delta and predominantly theta activity.



Stage	Continuous Patterns	EEG characteristics
N Rarely R	High Voltage Slow (HVS)	Continuous, synchronous, symmetrical, predominantly high voltage (100-150µV), 1-3Hz delta activity. Occipital or central predominance Mature EEG pattern of Stage N sleep at term



Stage	Continuous Patterns	EEG characteristics
W, R Rarely N	Mixed (M)	Both high voltage slow and low voltage polyrhythmic components; intermingled with little periodicity. Amplitude is lower than that seen in the HVS pattern





Infants: Summary of sleep stage characteristics

Stage	Behavioural	Respiration	EEG	EOG	Chin EMG
Wake	Eyes open Crying Feeding	Irregular	Low Voltage Irregular or Mixed	Blinks Scanning EMs REM	Present
N	Eyes closed Periodic Sucking Startle-occasional Less movements	Regular	Trace Alternant or High Voltage Slow or Mixed or Sleep spindles	Eyes closed No EMs	Present or Low
R	Eyes closed Small movements	Irregular Periodic breathing	Low Voltage Irregular or Mixed	REM or Eyes closed with no EMs	Low ± Transient muscle activity

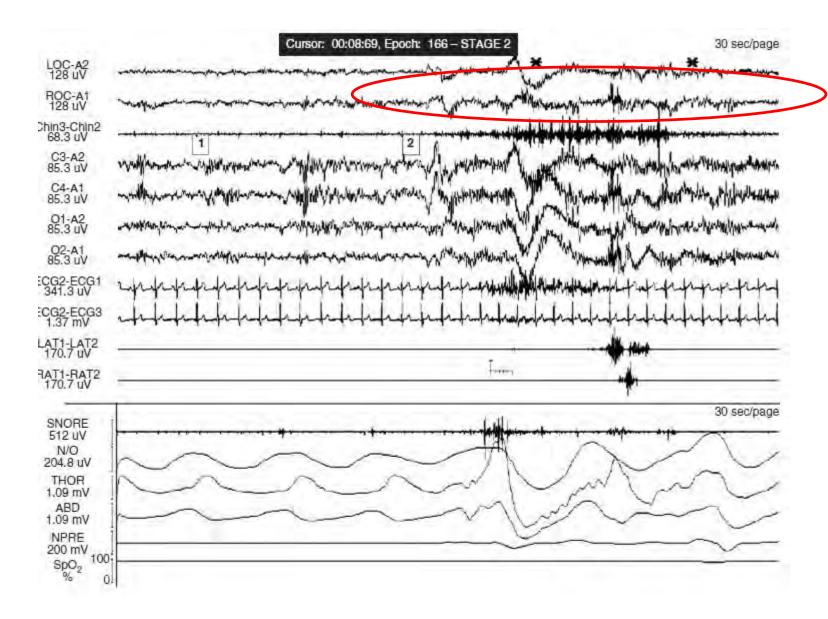
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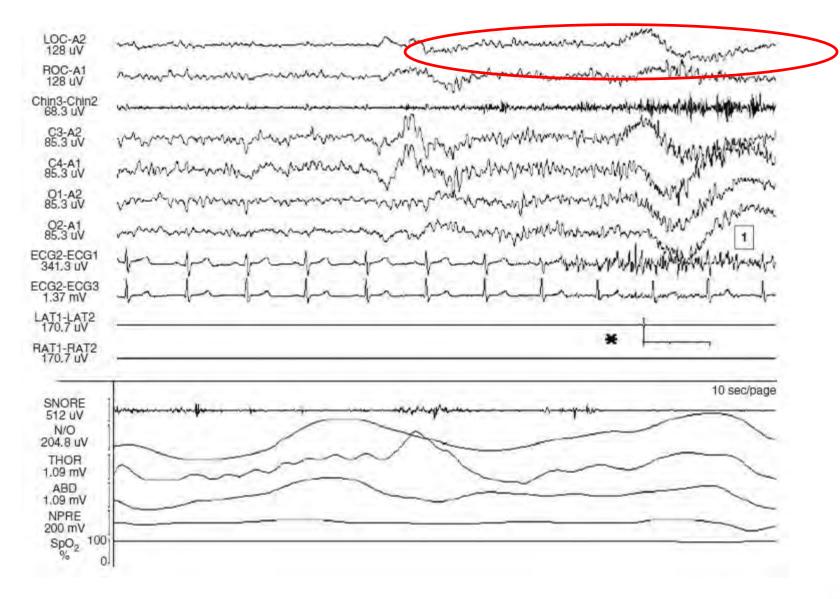
Arousal Scoring arousals

- Abrupt shift of EEG frequency including alpha, theta and/or frequencies >16 Hz (but not spindles) that lasts ≥3 sec, with at least 10sec of stable sleep preceding the change.
- Arousal in REM requires a concurrent increase in submental EMG lasting at least 1 sec.

Arousal



Arousal



Respiratory rules

Adult criteria applies to ≥ 18 years

Use paediatric criteria for <13 years

≥ 13 years may be scored using adult criteria (optional)













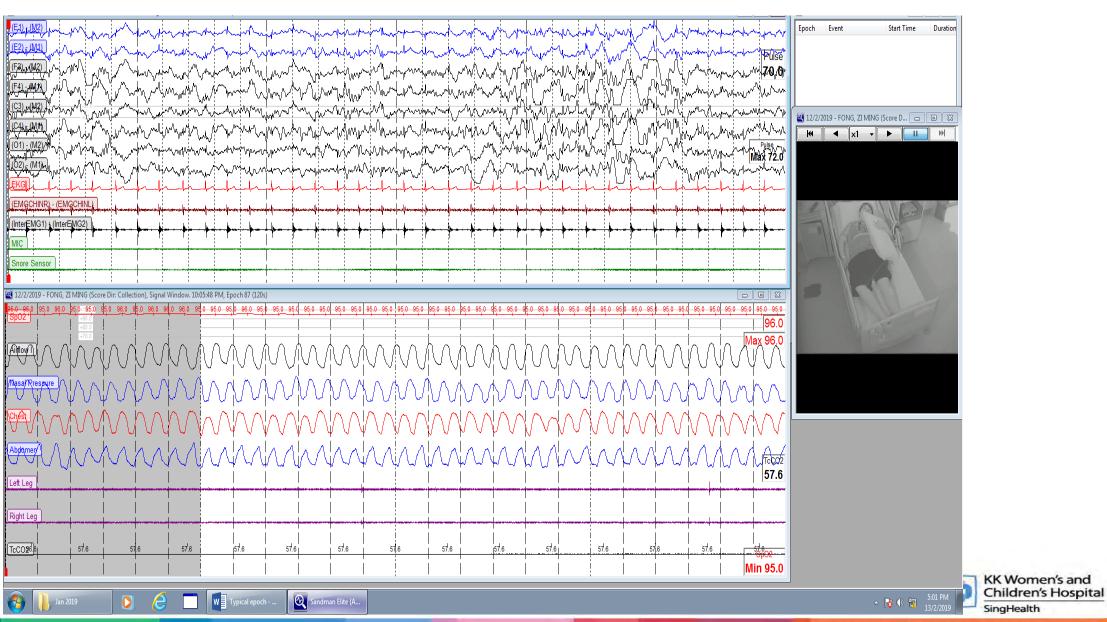




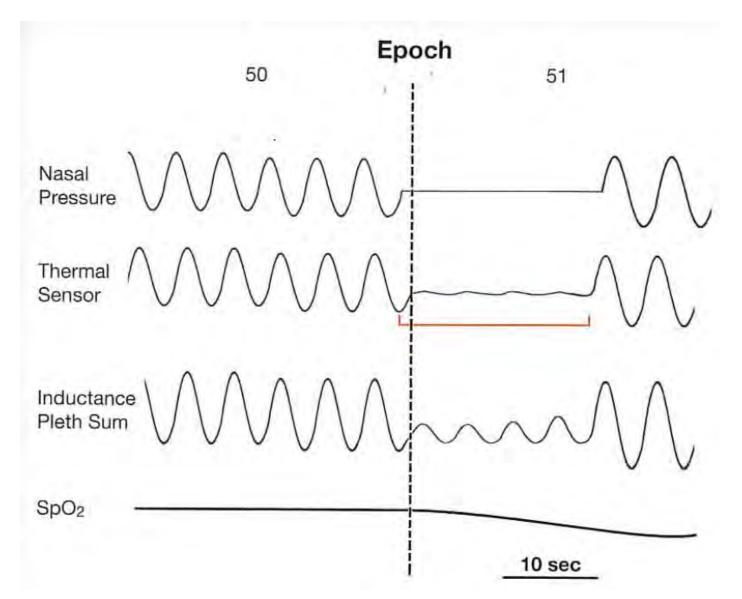




Typical PSG montage



Obstructive apnea



Scoring of Apneas

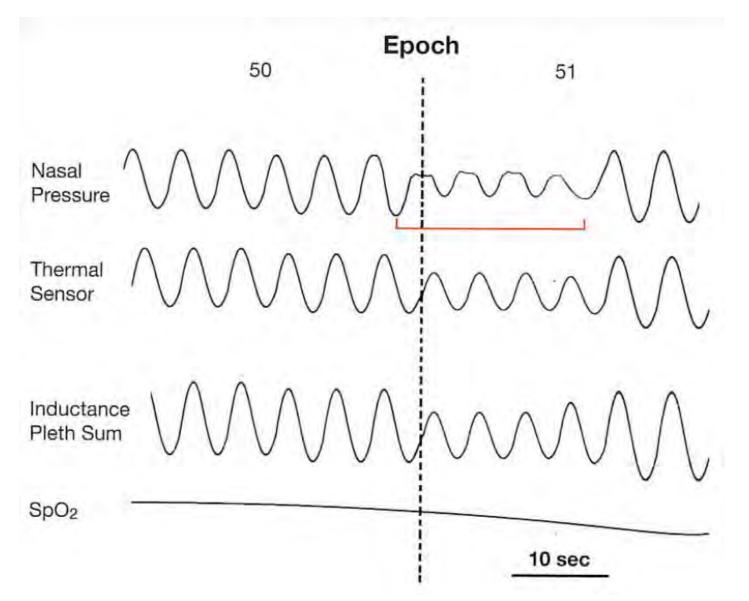
Type of Apnea

	CENTRAL	OBSTRUCTIVE	MIXED
Airflow	√	√	WV
Diaphragmatic Excursions	W	wwwwww	w-wv
Arterial Oxygen Saturation (S _a o ₂)			

Scoring of Apneas

Event	Adult	Children	i
Apnoea	(i). Drop in peak signal excursion by ≥90% of pre-event baseline(ii). Minimum duration of 10 seconds	 (i). Drop in peak signal excursion by ≥90% of pre-event baseline (ii). Meets duration and effort criteria for obstructive, central or mixed apnea. 	
Obstructive	Apnea criteria + Continued/increased inspiratory effort throughout the entire period.	Apnea criteria + Minimum duration of 2 breaths Continued inspiratory effort throughout the entire period of absent airflow.	
Central	Apnea critera + Absent inspiratory effort throughout the entire period of absent airflow	As in adults + at least 1 of the following (i). Duration ≥20 seconds (ii). Minimum duration of 2 breaths + arousal or a ≥3% desaturation (iii). HR <50bpm for at least 5 sec or <60bpm for 15 sec [For <1 year only]	
Mixed	Apnea critera + Absent inspiratory effort in the initial portion of the event, followed by resumption of inspiratory effort in the second portion of absent airflow.	Apnea criteria +Minimum duration of 2 breaths + absent respiratory effort during one portion of the event AND presence of inspiratory effort in another portion, regardless of which portion comes first.	KK Chi Sing

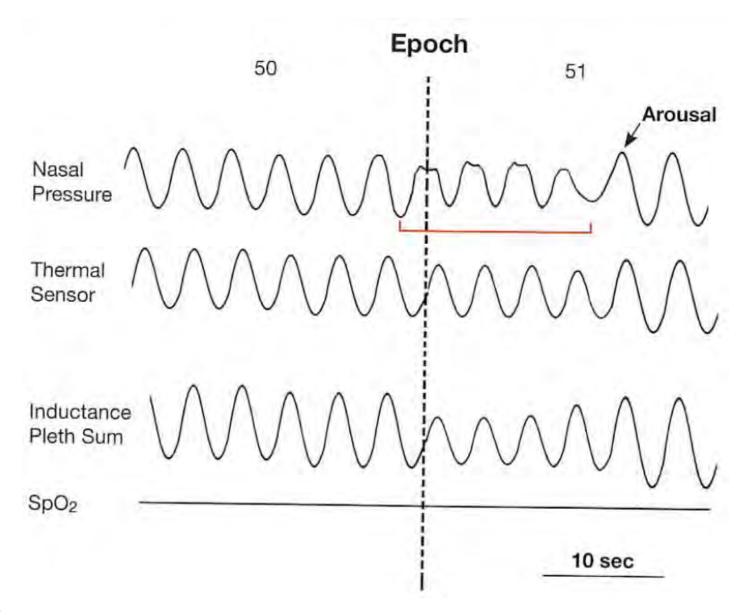
Hypopnea



Scoring of Hypopneas

Event	Adult	Children
Hypopnoea	(i). Drop in peak signal excursion by ≥30% of pre-event baseline + (ii). Minimum 10 seconds duration + (iii). ≥3% desaturation or arousal	 (i). Drop in peak signal excursion by ≥30% of pre-event baseline + (ii). Minimum duration of 2 breaths + (iii). ≥3% desaturation or arousal
Obstructive (Optional)	Hypopnea + ANY of the following (i). Snoring (ii). Increased inspiratory flattening of nasal pressure/PAP device flow (iii). Thoracoabdominal paradox during the event, but not during pre-event breathing.	Hypopnea + ANY of the following (i). Snoring (ii). Increased inspiratory flattening nasal pressure/PAP device flow (iii). Thoracoabdominal paradox during the event, but not during pre-event breathing.
Central (Optional)	Hypopnea + NONE of the following (i). Snoring (ii). Increased inspiratory flattening nasal pressure/PAP device flow (iii). Thoracoabdominal paradox during the event, but not during pre-event breathing.	Hypopnea + NONE of the following (i). Snoring (ii). Increased inspiratory flattening nasal pressure/PAP device flow (iii). Thoracoabdominal paradox during the event, but not during pre-event breathing.

Respiratory Event Related Arousal (RERA)

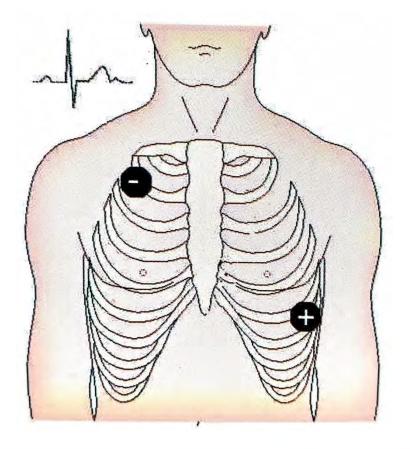


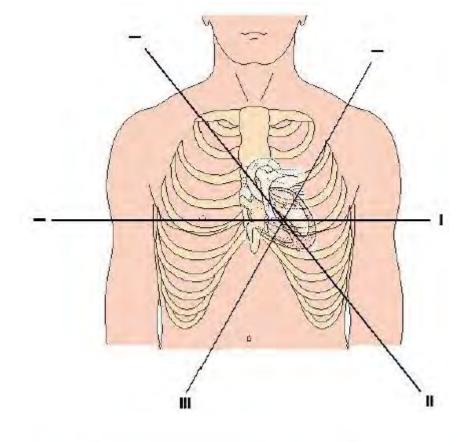
Scoring of Respiratory Effort-Related Arousal (RERA) : Optional

Event	Adult	Children
RERA	(i). Does not meet apnea/hyponea criteria	(i). Does not meet apnea/hyponea criteria
(Optional)		
	(ii). Sequence of breaths lasting≥10 seconds	(ii). Sequence of breaths lasting≥2 breaths duration
	(iii)Increased inspiratory effort OR -flattening of the inspiratory portion of the nasal pressure/PAP device flow waveform (iv). Leading to Arousal	(iii)Increased inspiratory effort OR -flattening of the inspiratory portion of the nasal pressure/PAP device flow waveform OR -snoring OR -an elevation in the ETCO2
		(iv). Leading to Arousal

Adult	Children
Optional	Recommended for diagnostic study Optional for PAP titration study
(i). Increase in PCO2 ≥55 mmHg for ≥10 minutes.	>25% of the TST is spent with PCO2 >50 mmHg.
OR	
(ii). ≥10 mmHg rise in PCO2 during sleep (compared to awake supine value) to a value >50 mmHg for ≥10 minutes.	
PCO2 = arterial/ETCO2/TcCO2	PCO2 = arterial/ETCO2/TcCO2

Cardiac Rules





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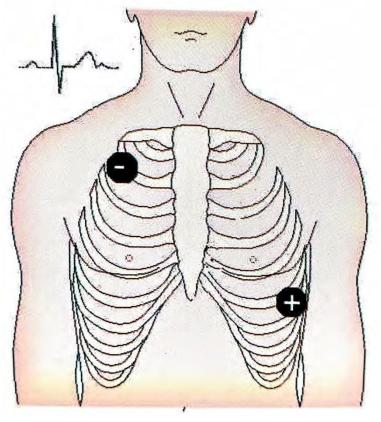
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Cardiac Rules



 Single modified lead II on torso Aligned in parallel to the right shoulder and left hip.

Use standard ECG electrodes

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Event	Criteria
Sinus tachycardia	Sustained, >90 bpm (adults)
Bradycardia	Sustained, <40 bpm (≥6 years)
Asystole	Cardiac pause >3 sec (≥6 years)
Wide complex tachycardia	Minimum 3 consecutive beats Rate >100 bpm QRS duration ≥120 msec
Narrow complex tachycardia	Minimum 3 consecutive beats Rate >100 bpm QRS duration <120 msec
Atrial Fibrillation	Irregularly irregular ventricular rhythm Replacement of p waves by rapid oscillations that vary in size, shape and timing.
Sustained sinus bradycardia or tachycardia	>30 sec of stable rhythm (distinguish from transient responses, associated respiratory events or arousals).



Movement Rules

SingHealth Academic Healthcare Cluster



















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Sengkang Health



Partner in

Movement scoring

- Periodic Limb Movements in Sleep (PLMS)
- Alternating Leg Muscle Activation (ALMA)
- Hypnagogic Foot Tremor (HFT)
- Excessive Fragmentary Myoclonus (EFM)
- Bruxism
- REM Behavior Disorder (RBD)
- Rhythmic Movement Disorder (RMD)

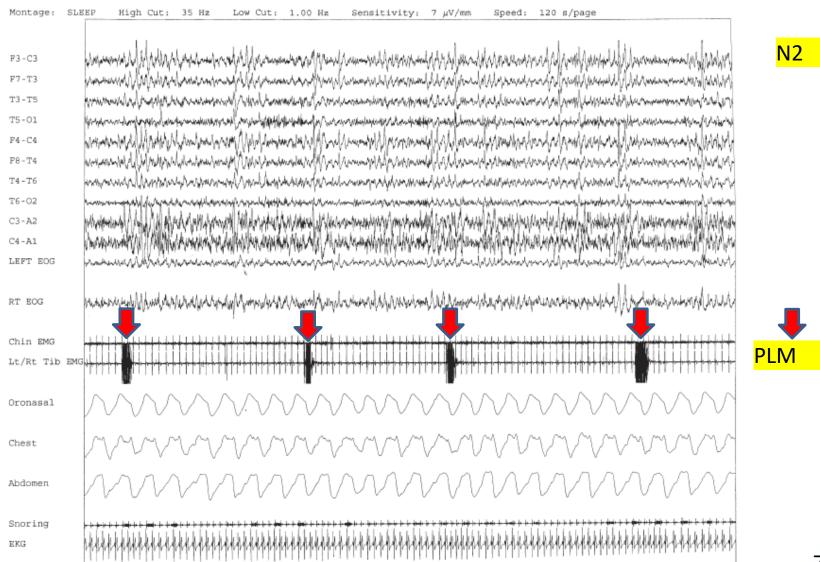


Significant Leg Movement (LM): Definition

- Minimum duration = 0.5 seconds
- Maximum duration = 10 seconds
- Minimum amplitude = 8µV increase in EMG above resting EMG.
- Onset: point at which there is an 8µV increase in EMG above resting EMG.
- End: start of period lasting at least 0.5 sec, during which the EMG does not exceed 2µV above the resting EMG



Periodic Limb Movements in Sleep (PLMS)





PLM series: Definition

- Minimum number of consecutive LMs = 4
- Minimum period length between LMs = 5 seconds
- Maximum period length between LMs = 90 seconds
- Leg movements on 2 different legs separated by <5 seconds between movement onsets are counted as a single leg movement.



PLM arousal: Definition

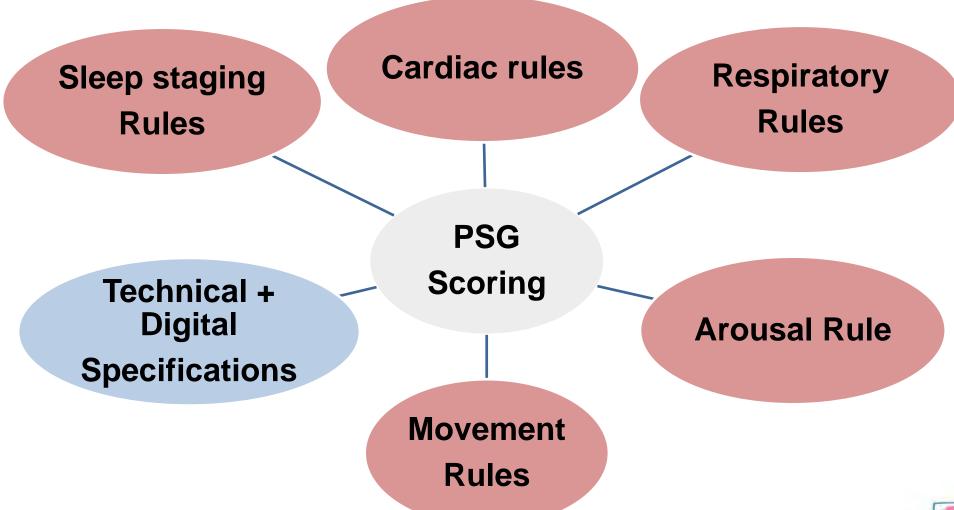
 An arousal and LM that occur in a PLM series should be considered associated with each other, if

- They occur simultaneously
- They overlap
- There is <0.5sec between the end of one event and the onset of the other, regardless of which is first.



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