

# Respiratory Problems in Children with Neuromuscular Disorders



**INAUGURAL PAEDIATRIC RESPIRATORY AND SLEEP MEDICINE SYMPOSIUM**

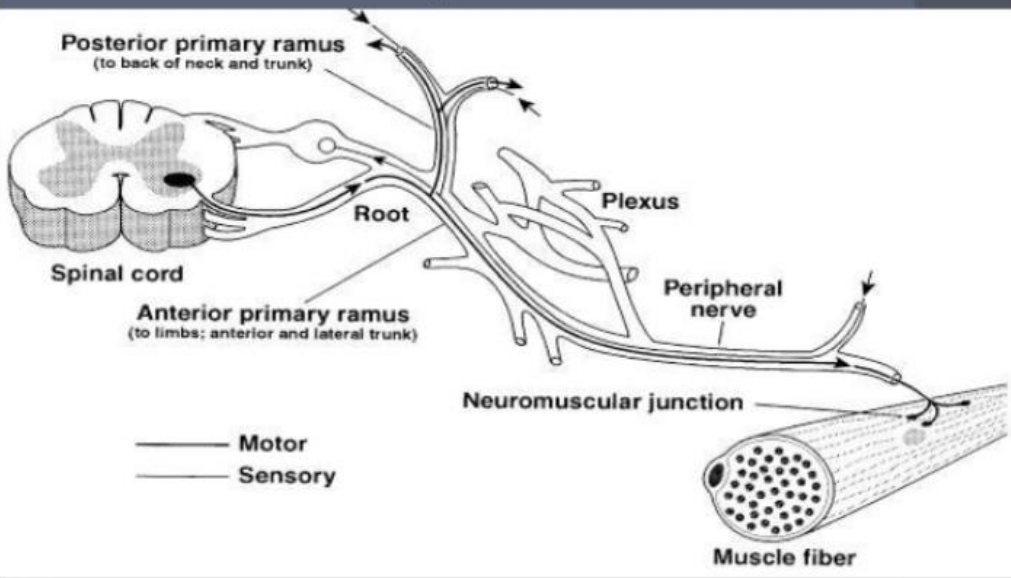
**10 MARCH 2019**

***Dr Petrina Wong  
Visiting Consultant  
Respiratory Service, Dept of  
Paediatrics, KKH***

# Neuromuscular disorders

## Neuromuscular system

- Motor neuron in the spinal anterior horn



Relatively common: 1 in 3000



Majority are genetic



Majority become apparent in childhood

# SPINAL MUSCULAR ATROPHY - OVERVIEW

Spinal muscular atrophy (SMA) is an autosomal recessive neurodegenerative disease. It is caused by mutations in the survival motor neuron 1 (SMN1) gene. The disease is classified on the basis of age of onset and clinical course.

## SMA type 0 (prenatal SMA)

The most severe form.

Children usually succumb to the disease before the age of 6 months.



## SMA type 2 (chronic infantile SMA)

Serious muscle weakness (assisted sitting and walking). Symptoms usually appear between 7-18 months of age.



## SMA type 4 (adult onset SMA)

Not a life-threatening condition. Symptoms appear in adulthood.

**SMA type 1 (Werdnig-Hoffman disease)**  
Symptoms appear within the first few months of life. Children rarely survive passed their 2nd birthday.



**SMA type 3 (Kugelberg Welander disease)**  
Children are able to stand and walk, but worsen with time. Symptoms usually appear after 18 months of age.



**SMARD1 (Distal SMA)**  
Clinically and genetically distinct and uncommon form of SMA.



**Finkel type SMA**  
Also an adult onset disease, it is caused by mutations in another gene called VAPB.



[www.smanewstoday.com](http://www.smanewstoday.com)

# SPINAL MUSCULAR ATROPHY

Condition	Respiratory failure	Secretion clearance difficulty	Recurrent pneumonia	Progression	Disease-specific features
SMA					
Type 1	All by 2 years	Marked	All	Rapid	All require full-time respiratory support
Type 2	~40% in childhood	Early	~25% in first 5 years	Slow	
Type 3	Rare in childhood	Rare in childhood	Rare in childhood	Slow	
SMA with respiratory distress type 1	All by 6 months	Marked	All	Rapid in first year, then slows.	All require full-time respiratory support



<b>Condition</b>	<b>Respiratory failure</b>	<b>Secretion clearance difficulty</b>	<b>Recurrent pneumonia</b>	<b>Progression</b>	<b>Disease-specific features</b>
DMD/severe childhood onset limb-girdle muscular dystrophy	After loss of ambulation	After loss of ambulation	Late		Cardiomyopathy usually occurs after respiratory problems but may precede them
Facioscapulohumeral muscular dystrophy	When onset <20 years	With infantile onset	With infantile onset	Slow	Severe infantile onset type is frequently associated with sensorineural deafness

# Congenital muscular dystrophy

Condition	Respiratory failure	Secretion clearance difficulty	Recurrent pneumonia	Progression	Disease-specific features
Congenital muscular dystrophy					
All types	Any age depending on severity	Any age depending on severity	Any age depending on severity	Slow	
Ullrich	70% in adolescence	Mild	Infrequent		Proximal contractures with marked distal laxity

# Congenital myopathies

Condition	Respiratory failure	Secretion clearance difficulty	Recurrent pneumonia	Progression	Disease-specific features
Congenital myopathy					
Central core	Uncommon except in severe recessive type	Uncommon	Uncommon	Slow	Susceptible to malignant hyperthermia
Minicore	Early while ambulation preserved				
Nemaline	Early in severe neonatal form, mild later onset form may develop early while ambulation preserved	In severe form	In severe form	Slow	
Myotubular	85% in severe X-linked form	In severe form	In severe form	Slow	Ophthalmoplegia, rare coagulopathy and liver haemorrhage

CMS/MM/  
CMT/  
Pompe's

Condition	Respiratory failure	Secretion clearance difficulty	Recurrent pneumonia	Progression	Disease-specific features
Congenital myasthenic syndromes	Often in neonatal period, may occur during inter-current illnesses	Especially during inter-current illnesses	Possible if weakness severe and persistent		Weakness may fluctuate, episodic apnoea in some. Congenital stridor in those with DOK7 mutations
Mitochondrial myopathy	Common	Possible	Possible	Acute deterioration possible	
Charcot–Marie–Tooth	With severe early onset, especially with GDAP1 mutation	With severe early onset	With severe early onset		Stridor, especially with GDAP1 mutation
Pompe	Infantile onset, may be early in later onset while ambulation preserved	Infantile onset	Infantile onset	Infantile rapid, late onset slow	Variable relationship between motor and respiratory progression



# Likelihood of respiratory impairment

Varies greatly

More significant in  
those with more  
severe global  
weakness

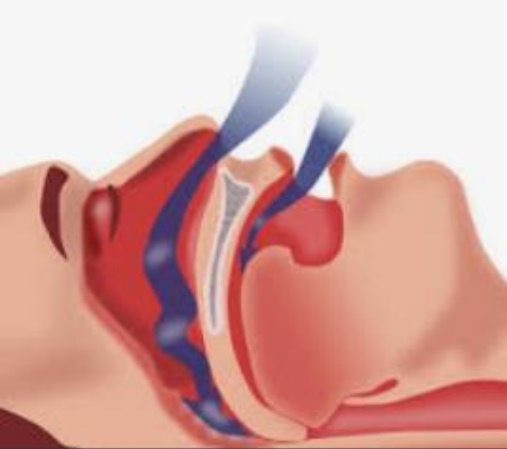


# Guidelines in respiratory care

There were a number of excellent disease specific guidelines and consensus statements, but none focussed on respiratory management ... Till BTS in 2012

Many principles of respiratory management are not disease specific

Can largely apply to all children with NM weakness



## Respiratory complications of neuromuscular weakness



---

Gas exchange and pump functions of the respiratory system are compromised

---

Difficulty maintaining upper airway muscle tone

---

Problems with airway protection

---

Reduced efficiency of secretion clearance

---

Poor spinal support

# Respiratory consequences

Hypoventilation

Upper airway  
obstruction

Aspiration lung  
disease

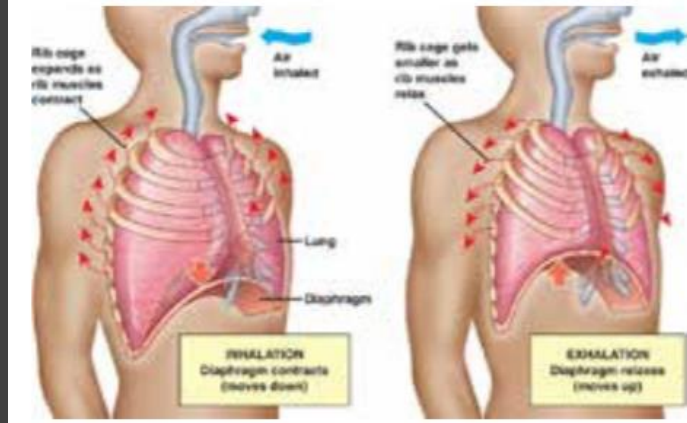
Secretion  
retention -> Lower  
airway infection

Mechanical effects  
of progressive  
scoliosis

Progressive  
respiratory  
insufficiency

Respiratory failure

Death



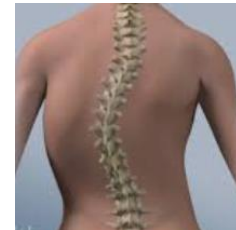
# Pulmonary function



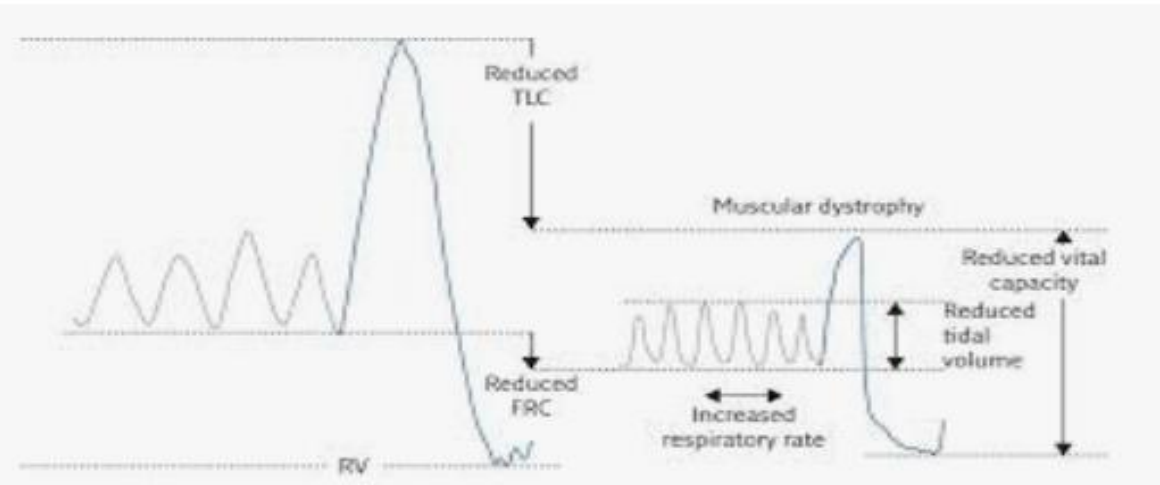
Typically a restrictive pattern with reduced vital capacity, total lung capacity and functional residual capacity



Relative preservation of FEV1/FVC ratio



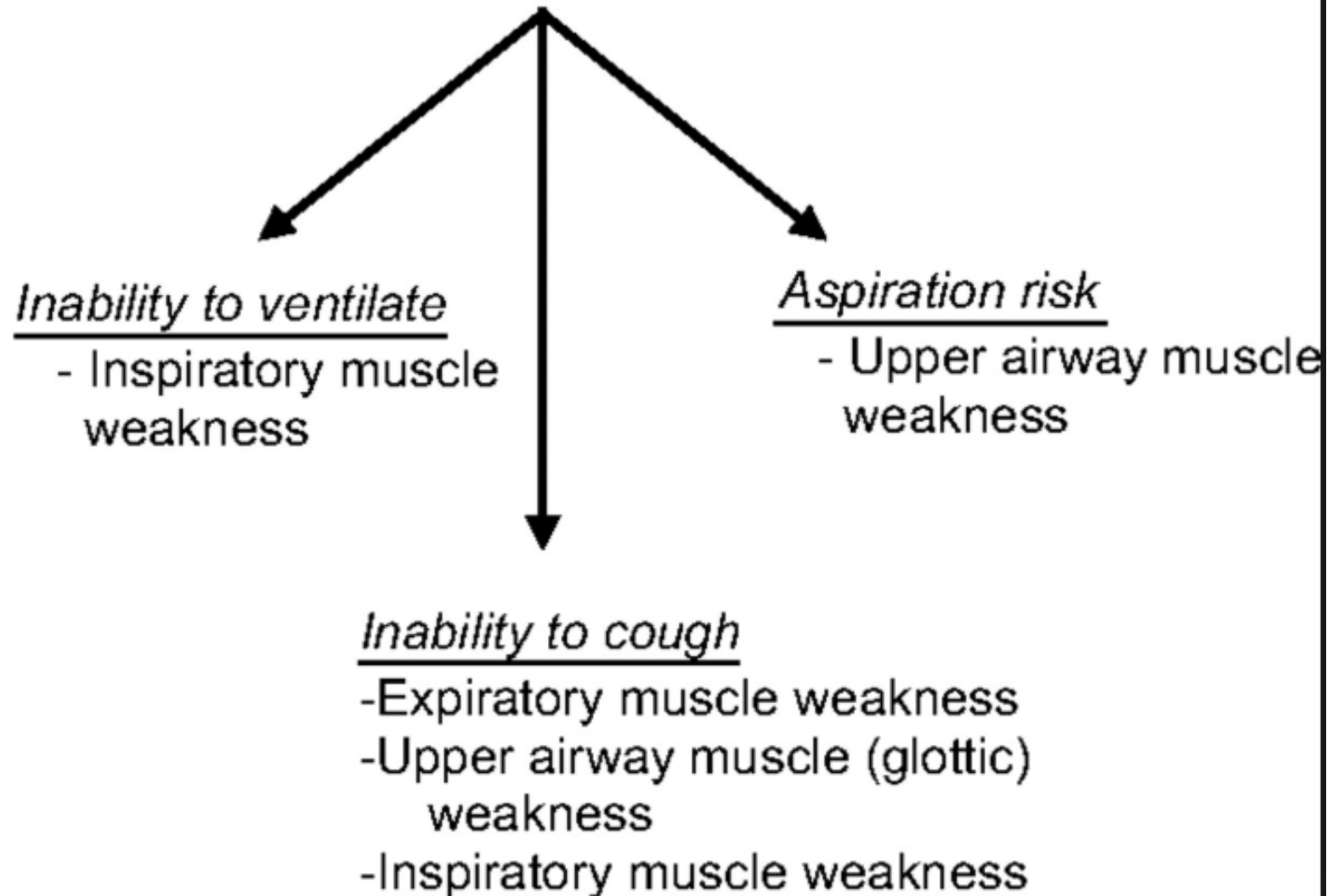
Scoliosis → worsens the restrictive defect



(Independent of scoliosis) Fibrotic/dystrophic chest wall muscles + shortening of un-stretched tissues → Reduced chest wall compliance

Microatelectasis → reduced lung compliance

# Neuromuscular respiratory failure



Swallowing dysfunction, loss of airway protection & aspiration lung disease

Parallels progression of muscle weakness

Difficulties with swallowing -> under nutrition/ risk of aspiration

Loss of control of larynx and pharynx

Ineffective cough

Aspiration of saliva, oral organisms, food, gastric contents

Airway inflammation, airway obstruction

Worsening restrictive lung disease, bronchiectasis, pulmonary fibrosis

Reflux -> a problem in non- mobile children



# Retention of airway secretions

Amount of secretions reaching trachea from peripheral airways : 10-100ml/day

Lack of effective coughing:

→unable to generate rapid expiratory flow rates

Respiratory infections, increased airway mucus, impaired ciliary activity

Atelectasis, VQ mismatch, hypoxaemia, reduced lung compliance

Lack of deep inspiration ( usually 95% of total lung capacity )

Poor glottic closure (that requires intact bulbar function)

Ineffective contraction of the expiratory muscles (abdominal and intercostals)



# Impact of nutritional status



- A challenging problem in children with NM weakness
- At risk of malnutrition (50% of DMD at 14-18 yr old) :
  - Feeding difficulties
  - Dysphagia
  - Reflux
- At risk of obesity
  - 50% of DMD at 13 yr old
- Nasogastric/gastrostomy feeding to maintain adequate nutrition

**Willig TN**, Carlier L, Legrand M, *et al*. Nutritional assessment in Duchenne muscular dystrophy. *Dev Med Child Neurol* 1993;**35**:1074–82.

**Sproule DM**, Montes J, Dunaway S, *et al*. Adiposity is increased among high-functioning, non-ambulatory patients with spinal muscular atrophy. *Neuromuscular Disord* 2010;**20**:448–52.

# Impact of nutritional status (cont'd)

- Challenges in assessing nutrition:
  - Reduced muscle mass
  - Scoliosis
  - Contractures
- Immobility → **osteoporosis** ← steroids in DMD
- More data needed on : how nutrition impacts lung function

**Leroy-Willig A**, Willig TN, Henry-Feugeas MC, *et al*. Body composition determined with MR in patients with Duchenne muscular dystrophy, spinal muscular atrophy, and normal subjects. *Magn Reson Imaging* 1997;**15**:737–44.

**McDonald CM**, Abresch RT, Carter GT, *et al*. Profiles of neuromuscular diseases. Duchenne muscular dystrophy. *Am J Phys Med Rehabil* 1995;**74**(5 Suppl):S70–92.

**Goldstein M**, Meyer S, Freund HR. Effects of overfeeding in children with muscle dystrophies. *JPEN J Parenteral Enteral Nutr* 1989;**13**:603–7.



A common feature in many NM conditions

70-90 % of DMD boys & all of SMA 1&2 → significant scoliosis

Lateral curvature of spine → directly displaces thoracic cage & diaphragm , limiting vital capacity by causing asymmetric inspiration & decreased chest wall compliance

Increased physiological dead space, decreased tidal volume to dead space ration

Risk of CO<sub>2</sub> retention

Progression in teenage years → accelerated growth , increasing weakness, increased sitting time

Light weight orthotic aids, standing frames, well supported wheelchairs

## Impact of scoliosis

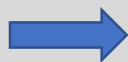
# Sleep disordered breathing & hypoventilation

- In normal individuals: reduced ventilatory drive in sleep, reduced upper airway & intercostal muscles tone

**Table 4** Sleep disorders associated with common neuromuscular diseases (adapted from Dhand and Dhand 2006)<sup>29</sup>

Disorder	Sleep abnormality
Duchenne muscular dystrophy	Obstructive sleep apnoea (younger patients) Hypoventilation (older patients)
Spinal muscular atrophy	Hypoventilation Apnoea/hypopnea
Myotonic dystrophy	Hypoventilation Apnoea /hypopnea Periodic limb movements Excessive daytime sleepiness
Peripheral neuropathies (eg, Charcot–Marie–Tooth disease)	Hypoventilation Frequent arousals

Episodic hypoventilation during REM with recovery during NREM



Throughout sleep with progressive hypercapnia

- BTS guidelines 2012

# Respiratory aims

 **Reduce this**



Most common reason for unplanned hospitalisation: acute respiratory failure from acute respiratory infections



Most common reason of death: chronic respiratory failure

 **Lengthen lifespan**

## **Box 1 Precipitating factors of acute respiratory failure in children with neuromuscular disease (adapted from Racca *et al*<sup>41</sup>)**

Upper respiratory tract infections

Pneumonia

Atelectasis

Cardiac failure secondary to cardiomyopathy and/or arrhythmia

Sedative drugs

Aspiration

Pneumothorax

Pulmonary embolism

Acute gastric distension associated with use of non-invasive ventilation

BTS 2012

**Racca F**, Del Sorbo L, Mongini T, *et al*. Respiratory management of acute respiratory failure in neuromuscular diseases. *Minerva Anestesiol* 2010;**76**:51–62.

# Tools to identify children at risk



Clinical assessment



Ulnar length/armspan to replace height measurement



Vital capacity to be measured in all who can perform spirometry



Cough peak flow to assess effective secretion clearance in those > 12 years old

# Airway clearance & respiratory muscle training

Augmented cough techniques for those with ineffective cough ( >12 yrs old with cough peak flow < 270litres/min

Manual cough assist & air-stacking methods to achieve maximum insufflation capacity

Mechanical insufflation/exsufflation for very weak children

- those with poor bulbar function
- Those who cannot cooperate with manual cough assist or air stacking

Oscillatory techniques



Airway  
clearance &  
respiratory  
muscle  
training  
(cont'd)

Nebulised normal saline can be used for tenacious secretions

Consider humidification for those on NIV and with tenacious secretions

Appropriate emergency equipment on hand when using sputum mobilising equipment

Airway  
clearance &  
respiratory  
muscle  
training  
(cont'd)

Use of NIV during airway  
clearance to prevent respiratory  
muscle fatigue

Rest periods during treatment  
sessions

Complete treatment session with  
an insufflation for adequate FRC

## Assisted ventilation

NIV support for those with symptomatic nocturnal hypoventilation or daytime hypercapnia

Non invasive approach for those needing daytime ventilation

Pressure –targeted machines work well generally

Modes with fixed  $T_i$  appropriate for young/very weak children

Mouth piece ventilation for older teens during day time

Danger of full face masks

Assisted  
ventilation

Regular sleep  
studies to assess  
those on NIV

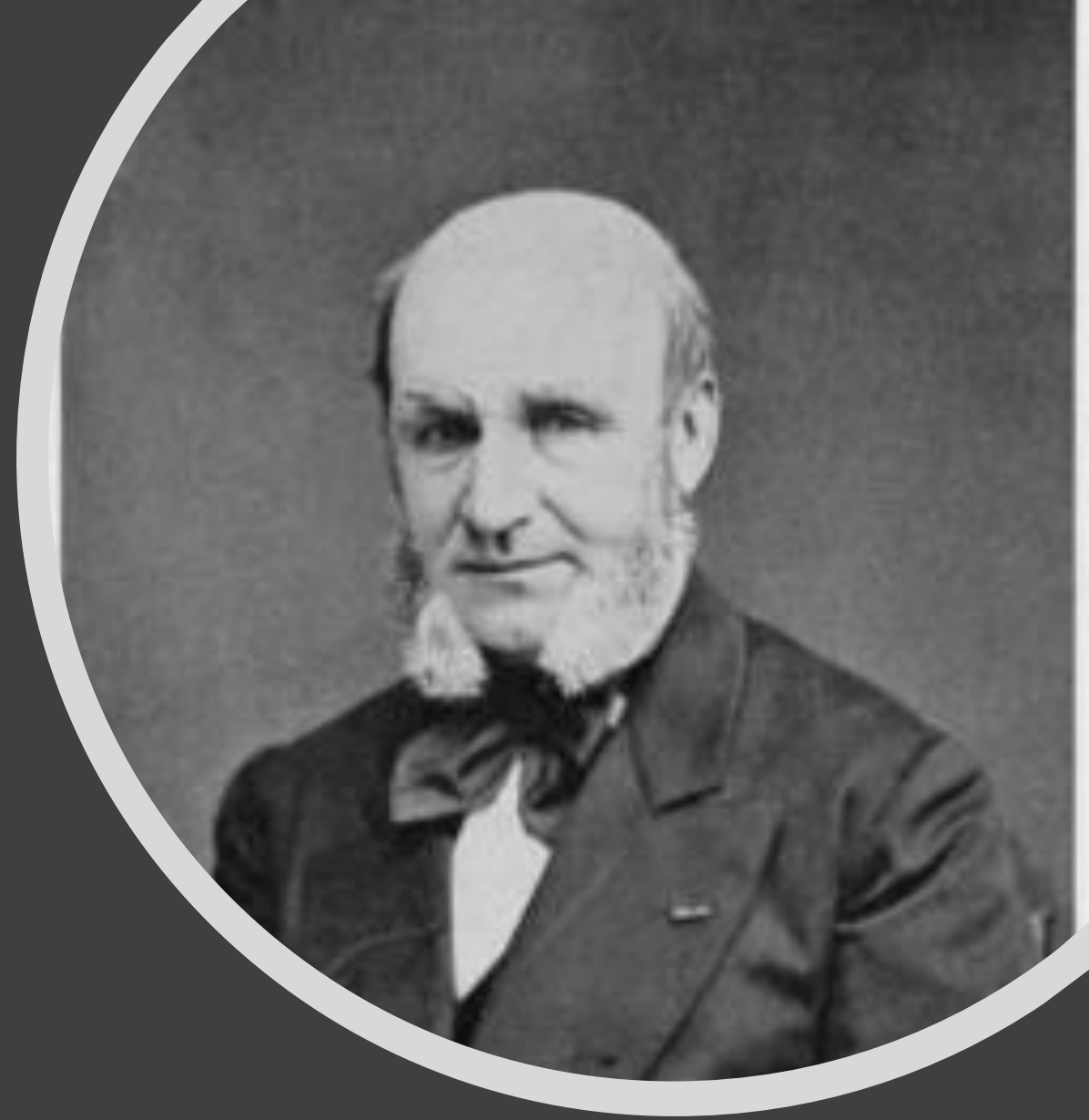
Monitor for  
complications of  
NIV /interface

Tracheostomy  
suitability &  
care

Oxygen alone  
not encouraged

# Duchenne muscular dystrophy

- First described by French neurologist Guillaume Benjamin Amand Duchenne in the 1860s
- In 1986, researchers identified the gene on the X-chromosome
- In 1987, the protein associated with this gene was identified and named 'dystrophin'
- Progressive muscle degeneration and weakness
- 1 of 9 types of muscular dystrophy
- X-linked recessive
- Onset at 3-5 yrs old, primarily affects boys
- Exciting research on-going : disease modifying drugs



## The DMD

### patient:

- \*DMD patients of varying ages form a large percentage of patients in NM clinic

- initial screening is recommended before child becomes wheelchair bound

- respiratory assessments step up once patient is non- ambulatory

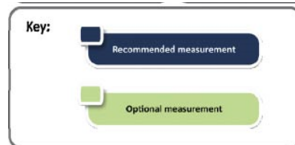


Fig. 1. Respiratory assessment of a patient who has DMD (in the clinic) (adapted from Bushby et al.<sup>2,3</sup>).

Patient Status	Measurements to be Taken During Each Clinic Visit	Frequency
Ambulatory and age 6 years or older	Sitting forced vital capacity (FVC)	At least annually
Non-Ambulatory	Oxyhemoglobin saturation by pulse oximetry	At least every 6 months
	Sitting FVC	
	Peak cough flow	
	Maximum inspiratory and expiratory pressures	
Non-Ambulatory and any of the following: <ul style="list-style-type: none"> <li>Suspected hypoventilation</li> <li>FVC &lt; 50% predicted</li> <li>Current use of assisted ventilation</li> </ul>	Awake end-tidal carbon dioxide (ETCO <sub>2</sub> ) level by capnography*	At least annually

\*Also measure ETCO<sub>2</sub> any time patient with FVC < 50% predicted has a respiratory infection

## The DMD patient:

- respiratory/sleep assessment

-FVC

-Oximetry/PSG

-blood gas

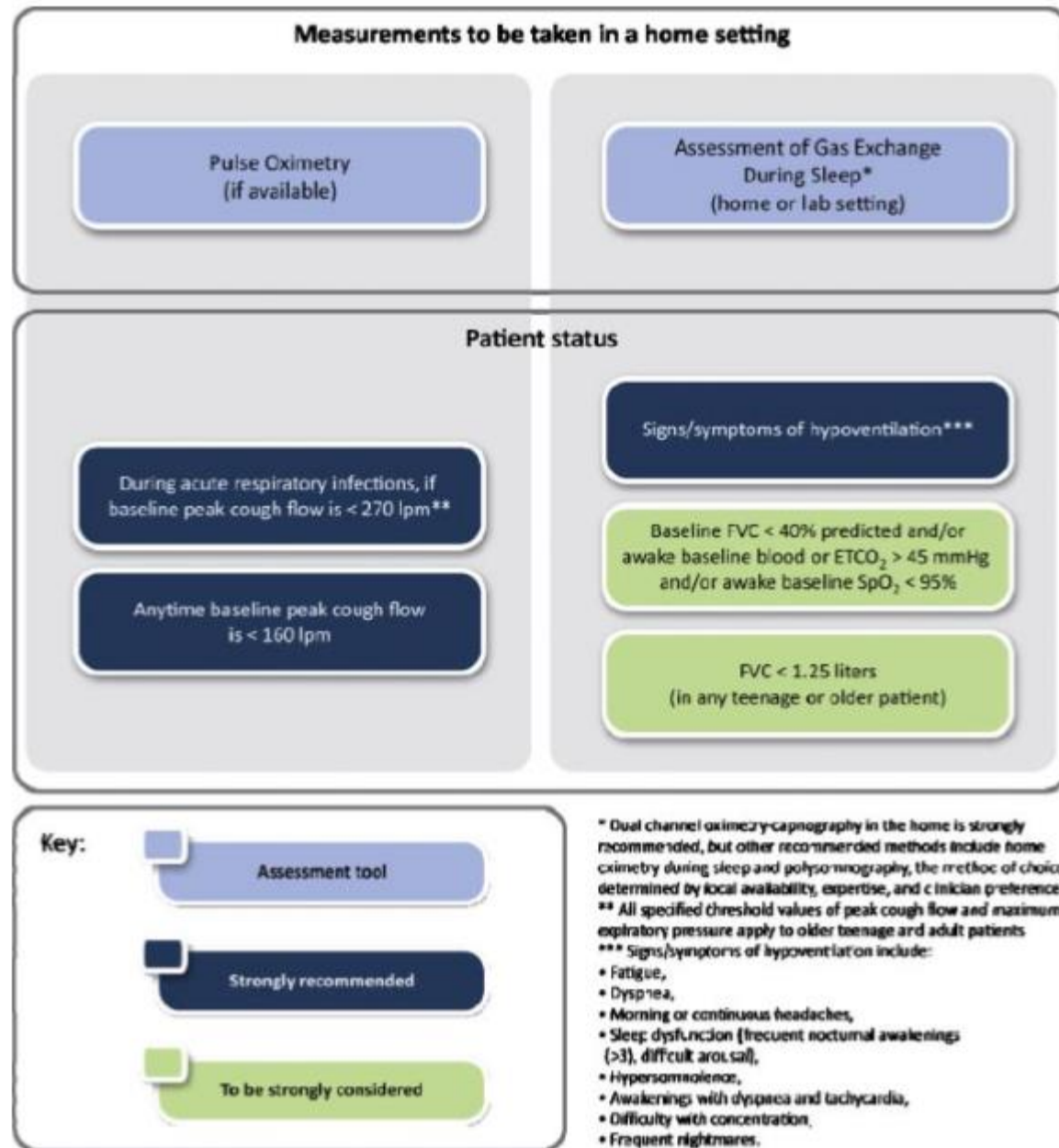


Fig. 2. Respiratory assessment of a patient who has DMD (in the home) (adapted from Bushby et al.<sup>2,3</sup>).

**Table 5** Indications for overnight sleep monitoring in children with neuromuscular weakness

<b>Indication</b>	<b>Notes</b>
Vital capacity <60% predicted	Children generally need to be over 6 years of age to produce reliable spirometry. In boys with DMD, a vital capacity of over 1.8 litres indicates that nocturnal hypoventilation is very unlikely to be present
Loss of ambulation because of progressive weakness, or children who never attain the ability to walk	Inability to walk is a measure of moderate to severe muscle weakness
Infants with weakness	Infantile onset is often associated with more severe weakness
Children with symptoms of obstructive sleep apnoea or hypoventilation	See section on clinical assessment
Children with diaphragmatic weakness	Sleep-associated hypoventilation can occur even if general muscle strength is preserved
Children with rigid spine syndrome	These children are at particular risk of nocturnal hypoventilation despite relatively preservation of general muscle strength, ambulation and near normal vital capacity



## The DMD patient:

- regular physiotherapy is recommended
- some patients see Rehab in KKH; some get physio in school/home by AWWA therapists
- LVR (*\*KKH rehab planning to start*)
- Cough Assist (*rental machines available at MDAS; if for purchase-refer physio/MSW/+/- Homecare*)
- NIV

Birnkrant et al.

### Step 1: Volume Recruitment / Deep Lung Inflation Technique

- Volume recruitment / deep lung inflation technique (by self-inflating manual ventilation bag or mechanical in-/ex-sufflation) when FVC < 40% predicted

### Step 2: Manual and Mechanically Assisted Cough Techniques

Necessary when:

- Respiratory infection present and baseline peak cough flow < 270 lpm\*
- Baseline peak cough flow < 160 lpm or max expiratory pressure < 40cm water
- Baseline FVC < 40% predicted OR < 1.25 liters in older teen / adult

\* All specified threshold values of peak cough flow and maximum expiratory pressure apply to older teenage and adult patients

### Step 3: Nocturnal Ventilation

Nocturnal ventilation\*\* is indicated in patients who have any of the following:

- Signs or symptoms of hypoventilation (patients with FVC < 30% predicted are at especially high risk)
- A baseline SpO<sub>2</sub> < 95% and/or blood or end-tidal pCO<sub>2</sub> > 45 mmHg while awake
- An apnoea-hyponoia index > 10/hour on polysomnography OR four or more episodes of SpO<sub>2</sub> < 92% OR drops in SpO<sub>2</sub> of at least 4% per hour of sleep

*Note: Optimally, use of lung volume recruitment and assisted cough techniques should always precede initiation of non-invasive ventilation.*

\*\*Recommended for nocturnal use: non-invasive ventilation with pressure cycled bi-level devices or volume cycled ventilators or combination volume-pressure ventilators. In bi-level or pressure support modes of ventilation, add a back-up rate of breathing. Recommended interfaces include: a nasal mask or a nasal pillow. Other interfaces can be used and each has its own potential benefits.

# The DMD patient: - intervention

## Step 4: Daytime Ventilation

In patients already using nocturnally assisted ventilation, daytime ventilation\*\*\* is indicated for:

- Self extension of nocturnal ventilation into waking hours,
- Abnormal deglutition due to dyspnea, which is relieved by ventilatory assistance,
- Inability to speak a full sentence without breathlessness, and/or
- Symptoms of hypoventilation with baseline  $SpO_2 < 95\%$  and/or blood or end-tidal  $pCO_2 > 45$  mmHg while awake.

Continuous non-invasive assisted ventilation (along with mechanically assisted cough) can facilitate endotracheal extubation for patients who were intubated during acute illness or during anesthesia, followed by weaning to nocturnal non-invasive assisted ventilation, if applicable.

\*\*\*Recommended for day use: non-invasive ventilation with portable volume cycled or volume-pressure ventilators; bi-level devices are an alternative. A mouthpiece interface is strongly recommended during day use of portable volume-cycled or volume-pressure ventilators, but other ventilator-interface combinations can be used based on clinician preference and patient comfort.

## Step 5: Tracheostomy

Indications for tracheostomy include:

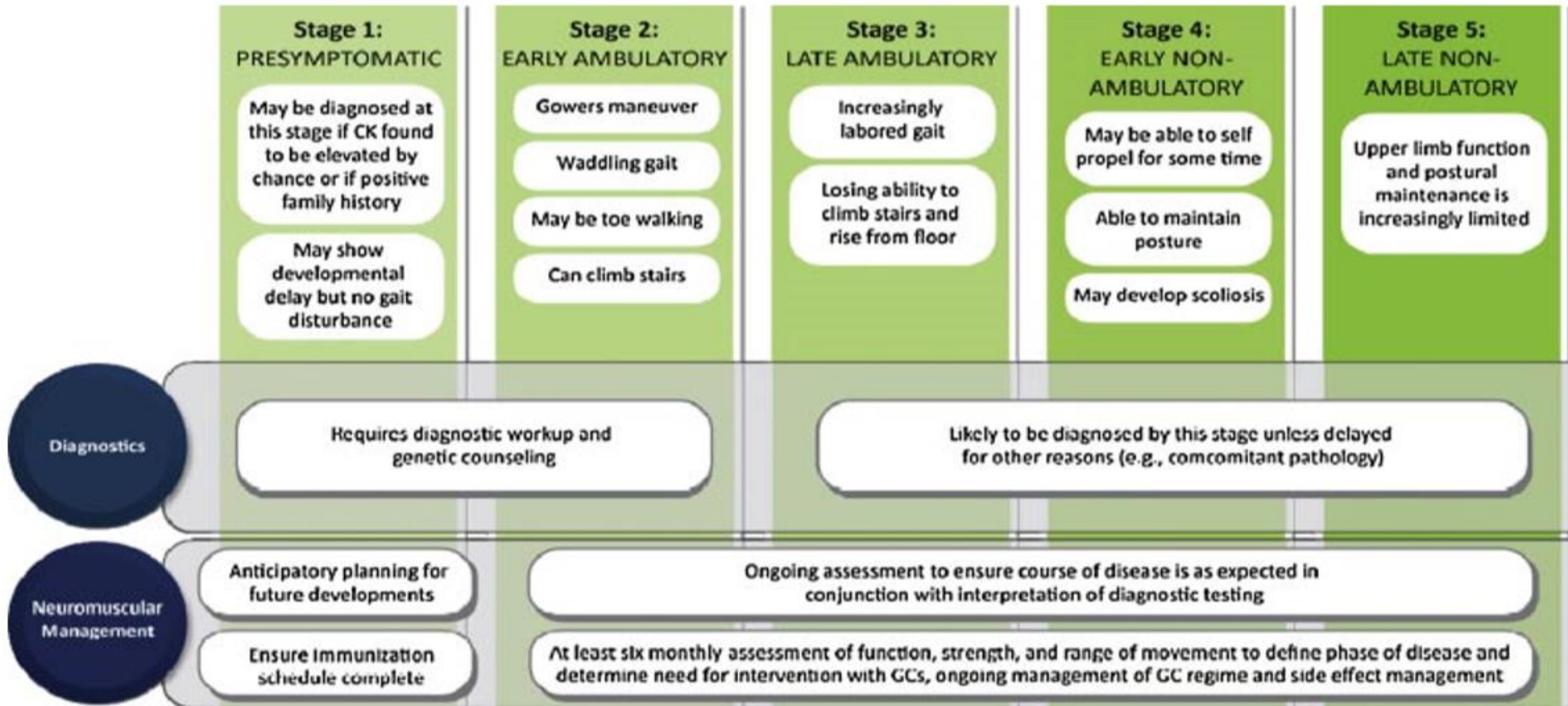
- Patient and clinician preference\*\*\*\*
- Patient cannot successfully use non-invasive ventilation,
- Inability of the local medical infrastructure to support non-invasive ventilation,
- Three failures to achieve extubation during critical illness despite optimal use of noninvasive ventilation and mechanically assisted cough
- The failure of non-invasive methods of cough assistance to prevent aspiration of secretions into the lung and drops in oxygen saturation below 95% or the patient's baseline, necessitating frequent direct tracheal suctioning via tracheostomy

\*\*\*\*Note, however, that the panel advocates for the long-term use of non-invasive ventilation up to and including 24 hours/day in eligible patients.

Fig. 3. Respiratory interventions indicated in a patient who has DMD (adapted from Bushby et al.<sup>2,3</sup>).

# DMD overview

## Duchenne Muscular Dystrophy



# Duchenne Muscular Dystrophy

## DMD overview (continued)

	Stage 1: PRESYMPTOMATIC	Stage 2: EARLY AMBULATORY	Stage 3: LATE AMBULATORY	Stage 4: EARLY NON-AMBULATORY	Stage 5: LATE NON-AMBULATORY
	<p>May be diagnosed at this stage if CK found to be elevated by chance or if positive family history</p> <p>May show developmental delay but no gait disturbance</p>	<p>Gowers maneuver</p> <p>Waddling gait</p> <p>May be toe walking</p> <p>Can climb stairs</p>	<p>Increasingly labored gait</p> <p>Losing ability to climb stairs and rise from floor</p>	<p>May be able to self propel for some time</p> <p>Able to maintain posture</p> <p>May develop scoliosis</p>	<p>Upper limb function and postural maintenance is increasingly limited</p>
<b>Orthopedic Management</b>	<p>Orthopedic surgery rarely necessary</p>		<p>Consideration of surgical options for TA contractures in certain situations</p>	<p>Monitoring for scoliosis: intervention with posterior spinal fusion in defined situations</p> <p>Possible intervention for foot position for wheelchair positioning</p>	
<b>Rehabilitation Management</b>	<p>Education and support</p> <p>Preventative measures to maintain muscle extensibility/minimize contracture</p> <p>Encouragement of appropriate exercise/activity</p> <p>Support of function &amp; participation</p> <p>Provision of adaptive devices, as appropriate</p>		<p>Previous measures continued</p> <p>Provision of appropriate wheelchair and seating, and aides and adaptations to allow maximal independence in ADL, function, and participation</p>		
<b>Pulmonary Management</b>	<p>Normal respiratory function</p> <p>Ensure usual immunization schedule incl 23-valent pneumococcal and influenza vaccines</p>	<p>Low risk of respiratory problems</p> <p>Monitor progress</p>	<p>Increasing risk of resp. impairment</p> <p>Trigger respiratory assessments</p>	<p>High risk of resp. impairment</p> <p>Trigger resp. investigations and interventions</p>	

# Duchenne Muscular Dystrophy

## DMD overview (continued)

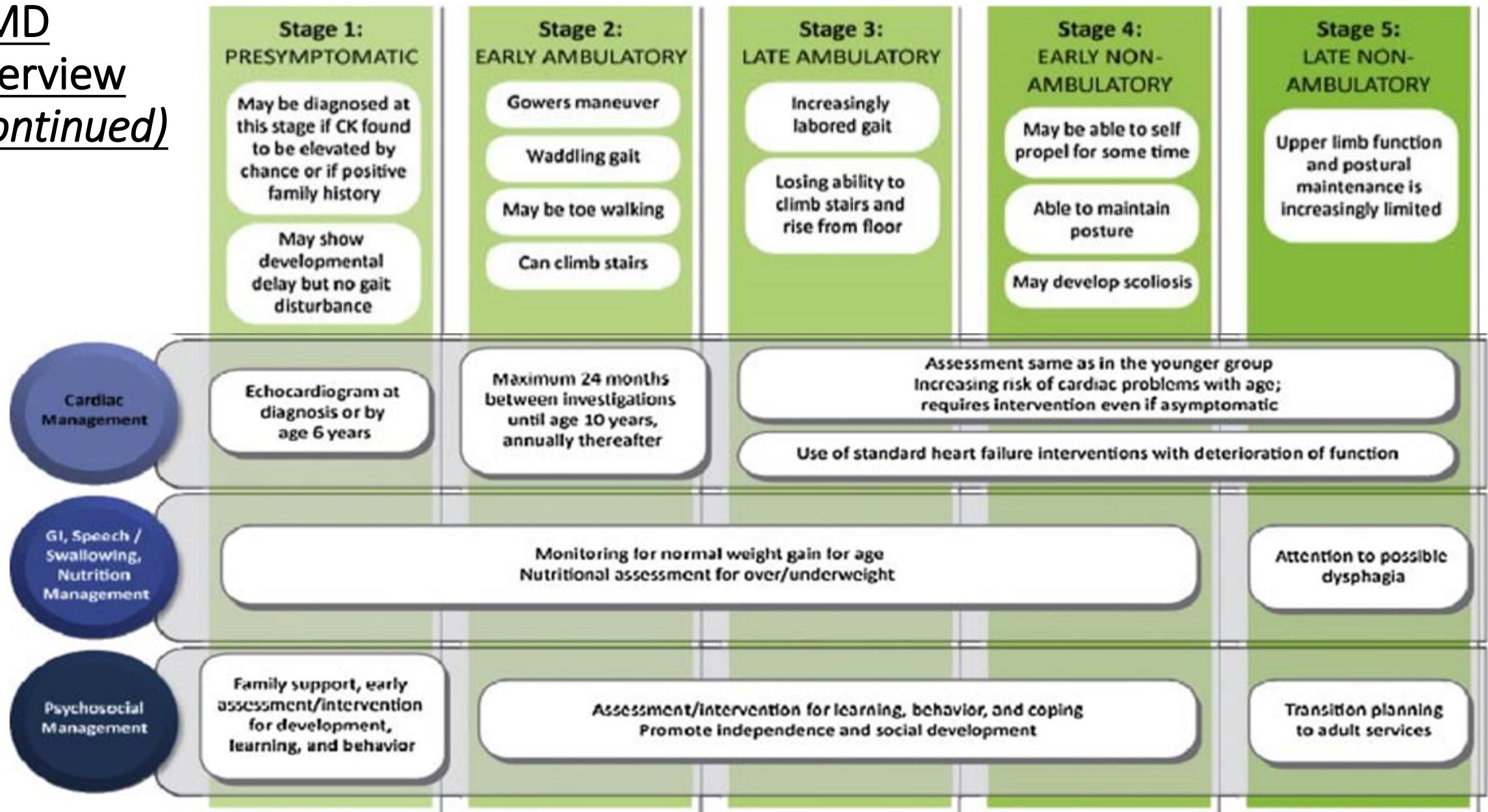


Fig. 4. DMD stages of disease and care considerations (adapted from Bushby et al.<sup>2,3</sup>).

## Vaccinations in NM patients :

- annual flu vaccine
- pneumococcal vaccines (Pevnar 13; PPSV23)

**Table 3**

Vaccination recommendations for patients with neuromuscular diseases (NMDs).

Patients	Recommendations
NMD patients with normal immunity who are not taking immunosuppressive therapy	All vaccinations recommended for healthy subjects according to national schedules
NMD patients who are immunocompromised, including those who are taking immunosuppressive therapy	Inactivated vaccines recommended for healthy subjects according to national schedules  Annual inactivated influenza vaccination One dose of pneumococcal vaccination (13-valent pneumococcal conjugate vaccine [PCV13] in addition to primary infant/child series and two doses of 23-valent polysaccharide pneumococcal vaccine [PPSV23]) 5 years or more apart Measles, mumps, rubella (MMR) vaccine (with one dose in patients already vaccinated once or two doses in those unvaccinated) at least one month before initiating immunosuppressive therapy Varicella vaccine (possibly with two doses) for those who have yet to initiate immunosuppressive therapy and have no evidence of varicella immunity Zoster vaccine at least one month before initiating immunosuppressive therapy General contraindication to live attenuated vaccines during immunosuppressive therapy and until 3 months after Vaccination (including annual influenza vaccination) of household contacts

Management  
of a child with  
NM weakness

Multidisciplinary care

Every child is different !

Empowering patients and their families

Transitioning to adult care

End of life issues

